

# Knot Theory

*The general mathematical theory of  
Knots.*

**SHOAIB AKHTAR**

# KNOT THEORY

Author: **Shoaib Akhtar**

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These notes are consequence of my self study; and are mostly inspired from Prof Anthony Bosman lectures. Also the book by Colin C. Adams was followed. These notes can be considered as a first course in Knot Theory; and builds up the general mathematical theory without much Physics.

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Lec 1: Introduction to Knots & Invariants.

Mathematical Knots  $\neq$  Tied Knots.

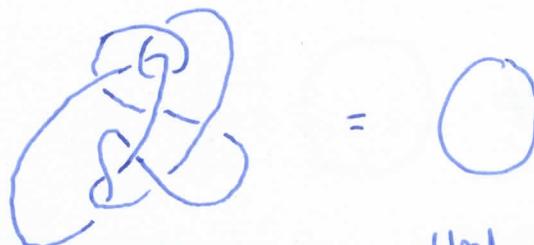
(Ends of Knots are joined together)

Knot is embedding of Circle in 3d space.



Unknot  
(Trivial knot)

\* The Culprit Knot can be transformed to Unknot.



Culprit knot

Unknot



3,  
(Trefoil)

Crossing Number: Minimum no. of crossings given any knot diagram.

ex (here 3)

Knot index: Arbitrary index assigned to specific knot of same crossing number (to differentiate it from other knots with same crossing number)

ex (here 1)

Ambient Isotopy: The process of deforming a knot without passing through itself.

3, is also known as Trefoil.

4, " " " " Figure Eight.

## Reidemeister Moves

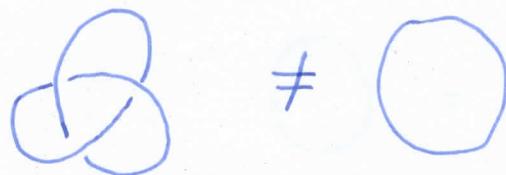
(1g<sup>2</sup>)

Move 1: "Twist" | ↘ or ↙

Move 2: "Poke" ) ( ✗

Move 3: "Slide" ✗ ✗

Reidemeister Moves  $\leftrightarrow$  Ambient Isotopy.



Knot Invariants unchanging characteristics,  
unaffected by Reidemeister moves

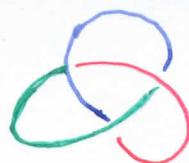
Tricolorability: A knot's ability to be colored with three different colors

- s.t.
1. At least two colors must be used
  2. Incident crossing strands are either:
    - all the same color
    - or all different colours.

Under these criterions;

we see that there are two ~~kinds of~~ kinds of knots ① Tricolorable ② Non-tricolorable

Trefoil is tricolorable



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What about unknot,



Unknot is not tricolorable:

because there is only one component to unknot;  
and we know that Reidemeister Moves preserve  
Colorability  $\Rightarrow$  There is no way to color the unknot.

because there is only possibility of using one color,  
violating the rules of Tricolorability.

so;  $O \neq \text{Trefoil}$

Figure Eight

$\neq$



Trefoil



Trefoil  $\Rightarrow$  Tricolorable

Figure Eight  $\Rightarrow$  Non-tricolorable

Reidemeister Moves preserves Colorability

Move 2)



Violation of rule 2

(Non-tricolorable)

Move 2)



(Non tricolorable)



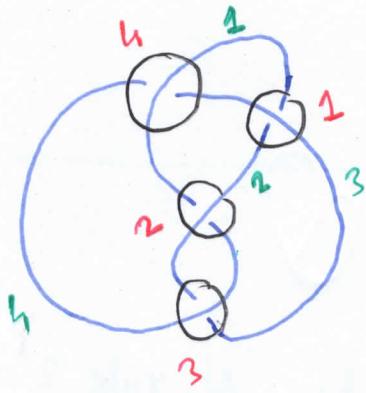
(Tricolorable)

## Knot Determinant.

For each crossing

- ① Put 2 in column corresponding to overcrossing component
- ② Put -1 in columns corresponding to undercrossing components
- ③ Put 0 in columns corresponding to components not involved in crossing.

Calculate First no. each component and each crossing.



And then create  $m \times n$  matrix for your knot.

(where  $m$  is no. of crossing)

$$\begin{matrix} & 1 & 2 & 3 & 4 & \rightarrow \\ 1 & -1 & -1 & 2 & 0 & \\ 2 & -1 & 2 & 0 & -1 & \\ 3 & 0 & -1 & -1 & 2 & \\ 4 & 2 & 0 & -1 & -1 & \end{matrix} \quad \text{components}$$

$\downarrow$   
crossing

Once you get  $m \times m$ ; delete a row & column in the matrix and calculate the determinant of the new matrix.

$$\left[ \begin{array}{cccc} -1 & -1 & 2 & 0 \\ -1 & 2 & 0 & -1 \\ 0 & -1 & -1 & 2 \\ 2 & 0 & -1 & -1 \end{array} \right] \Rightarrow \left[ \begin{array}{cccc} -1 & -1 & 2 & 0 \\ -1 & 2 & 0 & -1 \\ 0 & -1 & -1 & 2 \\ 0 & 0 & 0 & -1 \end{array} \right]$$



$$\det \left( \begin{bmatrix} -1 & -1 & 2 \\ -1 & 2 & 0 \\ 0 & -1 & -1 \end{bmatrix} \right) = 5$$

The prime factor of these determinants corresponds to no. of colors ~~they~~ that may be used to color using the coloring rules.

(hence, The tricolorability is just the subset of more general

$p$ -colorability ,  $p$  is prime )

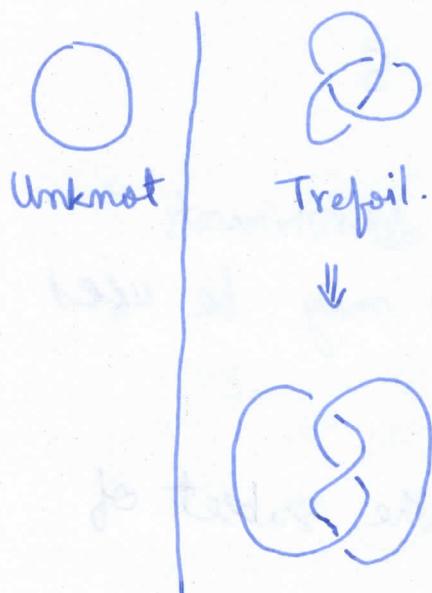
### Topics of Investigation

Alexander Polynomial

Surfaces & genus

Braids

Fundamental Group

Lee 2: ColoringIs that Knot Knotted or Not?

Def<sup>n</sup>] A knot is an embedding of a circle  $S^1$  into  $\mathbb{R}^3$ .

We say, two knots are equivalent if they are

Ambient Isotopy

Def<sup>n</sup>] A knot diagram is colorable if each arc can be colored using 3 colors s.t.:

- 1) Use at least 2 colors.
- 2) At any crossing, if 2 colors are used, three must be used.

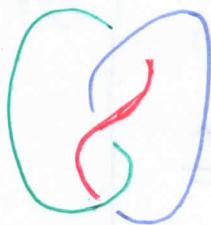
(Knot diagram is projection of knot on 2d surface;  
This is effectively what we are drawing on our paper)

Example Ex



Trefoil is colorable.

ex. O unknot, is not colorable.

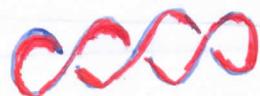


(Another version of Trefoil)

This is colorable



is Ambiently Isotopy to

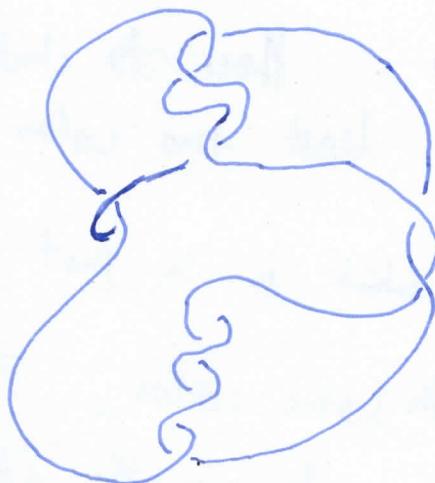


(This is also not colorable)

Conjecture: If a knot diagram is colorable, then all equivalent diagrams are colorable.

Likewise if a knot diagram is not colorable, then all equivalent diagrams are not colorable.

O  
Not colorable

Not colorable

### Reidemeister Moves

R I :  $\longleftrightarrow$  (twist)

R II :  $\longleftrightarrow$  (poke)

R III :  $\longleftrightarrow$  (slide)

If two diagrams are related by a sequence of R. moves

(178)

Theorem



They represent equivalent knots.



Left Handed  
Trefoil



Right Handed  
Trefoil

Now, to prove our conjecture; we just have to show that each R moves preserves colorability.

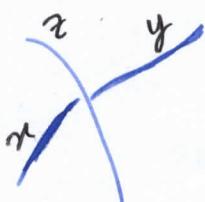
Proof of Conjecture:

Show RI, RII, RIII preserve colorability. (see page 3)

e.g. RI )  $\longleftrightarrow$  b How to check that at least two colors was used.

if we assume ) which is a part of a diagram to be with some color; Then there must be some other color in the other part of diagram ..... same for b

Another way to think about condition 2 of colorability

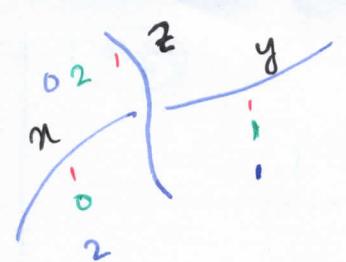


where  $x, y, z \in \{0, 1, 2\}$

Label for colors.

Condition 2 is equivalent to

$$x + y \equiv 2z \pmod{3}$$



$$x+y \equiv 2z \pmod{3}$$

pgg

$$1+1 \equiv 2 \quad (\text{differ by zero... so mod 3})$$

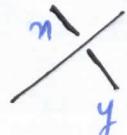
$$0+1 \equiv 2 \times 2 = 4 \quad (4-1=3 \text{ } \checkmark)$$

$$2+1 \equiv 0 \quad (3-0=3 \text{ } \checkmark)$$

We can generalize  $x+y \equiv 2z \pmod{3}$

We say a knot is  $p$ -colorable if : ( $p \geq 3$ , prime)

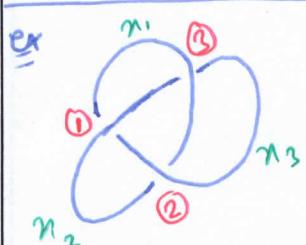
① Use at least 2 labels from  $\{0, 1, 2, \dots, p-1\}$

② At each crossing  :  $x+y \equiv 2z \pmod{p}$

Goal:

Knot  $\rightsquigarrow$  Matrix  $M$   
 $\det(M)$

$p$ -colorable if and only if  $p \mid \det(M)$

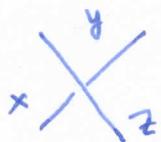


$$\begin{array}{l} \textcircled{1} \\ \textcircled{2} \\ \textcircled{3} \end{array} \left( \begin{array}{ccc} n_1 & n_2 & n_3 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \\ 2 & -1 & -1 \end{array} \right)$$

overcrossing  $\equiv +2$   
undercrossing  $\equiv -1$

motivation  
this.

Coloring condition:



$$x+y \equiv 2z \pmod{p}$$

$$\Rightarrow 2z - x - y \equiv 0 \pmod{p}$$

Delete any 1 row & column

$$\left( \begin{array}{ccc} -1 & 2 & -1 \\ -1 & -1 & 2 \\ 2 & -1 & -1 \end{array} \right)$$

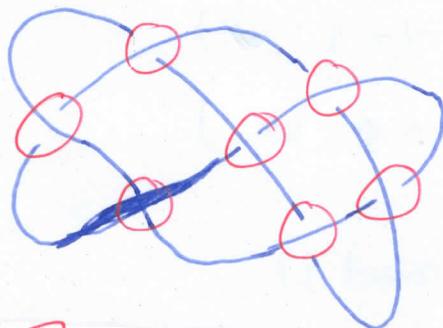
$$; M = \left( \begin{array}{cc} -1 & 2 \\ -1 & -1 \end{array} \right) ; \det M = 1 + 2 = 3$$

so: 3-colorable!

Trefoil is 3 colorable,  
and not 5, 7, 11 colorable.

(Pg 10)

ex



$F_4$

$$\det(F_4) = 15$$

so

$F_4$  is 3, 5 colorable

so:  $F_4$  is not equivalent to Trefoil/

because  $F_4$  is 5 colorable

but Trefoil is not 5 colorable.

Lec 3: Alexander Polynomial.Alexander Polynomial of a Knot.

Two knots are equivalent (ambient isotopic)



They are related via Reidemeister Moves.

$$R\text{I}: \quad ) \leftarrow \begin{array}{c} \textcirclearrowleft \\ | \\ \textcirclearrowright \end{array}$$

$$R\text{II}: \quad ) ( \leftarrow \begin{array}{c} \textcirclearrowleft \\ | \\ \textcirclearrowright \end{array}$$

$$R\text{III}: \quad \begin{array}{c} \diagup \\ \diagdown \end{array} \leftarrow \begin{array}{c} \diagdown \\ \diagup \end{array}$$

Colorable

- use  $\geq 2$  colors.
- At each crossing; all same or all different.

ex



Colorable!



Not colorable!



Not colorable!

 $p$ -colorable :

- $\{0, 1, \dots, p-1\}$
- $\begin{array}{c} y \\ x \\ z \end{array} \quad x+y \equiv 2z \pmod{p}$

$$2z - x - y \equiv 0 \pmod{p}$$

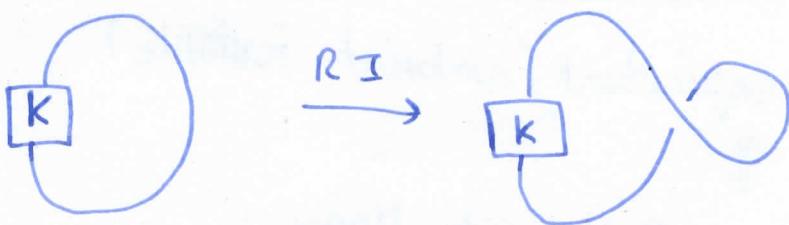
$$\begin{array}{c} y := 1 \\ x := 1 \\ z := 2 \end{array}$$

Theorem]  $K$  is  $p$  colorable  $\Leftrightarrow p \mid \det(M_K)$

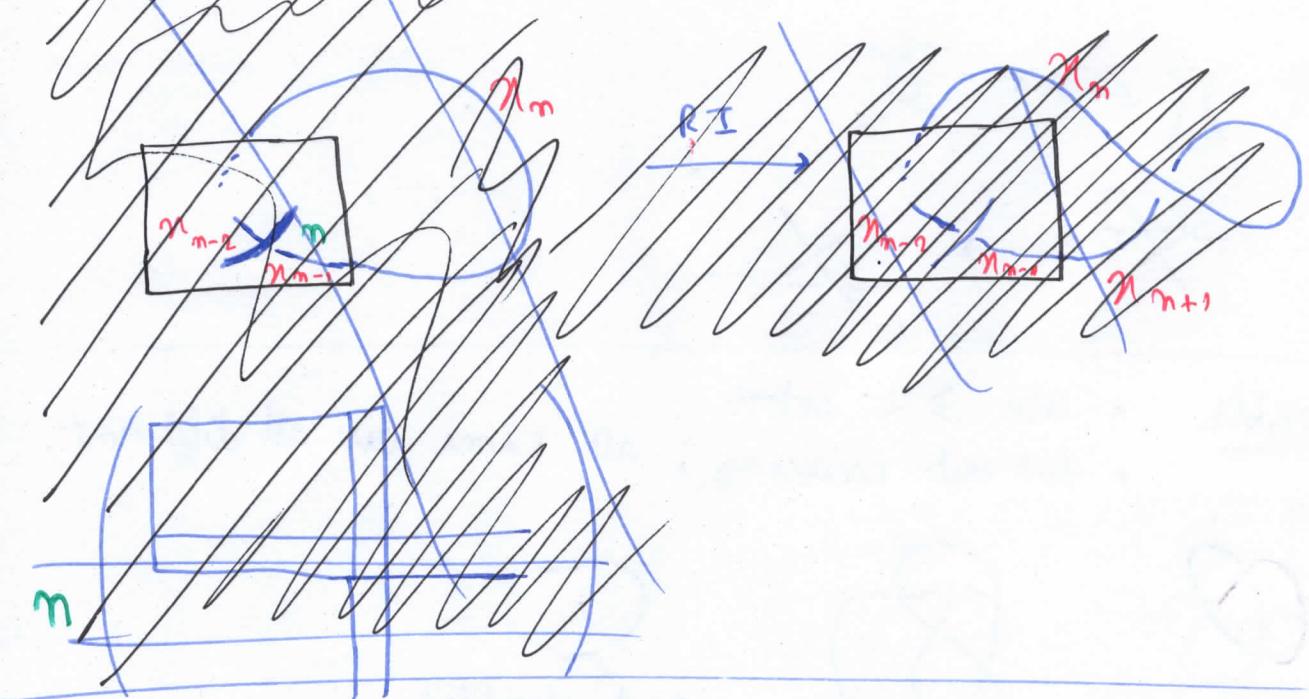
Question How do we know different diagrams will give same determinant?

Answer) Just show det is preserved under R I, R II, R III.

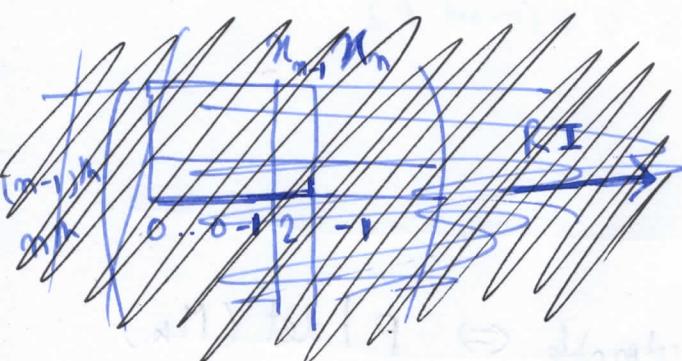
R I



lets write in more detail.



lets write in more detail



19/3

$$\begin{pmatrix} M \\ \vdots \\ 0 \dots 0 -1 \quad 2 \quad -1 \end{pmatrix} \rightarrow \begin{pmatrix} M \\ \vdots \\ n-1 \\ n \quad 0 \dots 0 -1 \quad 2 \quad 0 \quad -1 \\ n+1 \quad \dots \quad 0 \quad 0 \quad 2 \quad -1 \end{pmatrix}$$

$n_{n-1}, n_n, n_{n+1}$

$$2-1 = 1$$

$$\begin{pmatrix} n-1 & 1 \\ n & 0 \dots 0 \quad 0 \quad -1 \quad 2 \quad 0 \quad -1 \\ n+1 & 0 \dots 0 \quad 0 \quad 0 \quad 2-1 \quad -1 \end{pmatrix}$$

$$= \begin{pmatrix} (M) & \vdots \\ 0 & \ddots & 0 \\ 0 \dots 0 & -1 \end{pmatrix} = M'$$

So; we see  $\det(M) = -\det(M')$

We can check, under RI, RII, RIII ; determinant can at most change by sign.

So; we can define  $\det(K) = |\det(M)|$

where M is matrix obtained from any diagram K

} Knot Invariant

★  $\det(K)$  is not invariant.

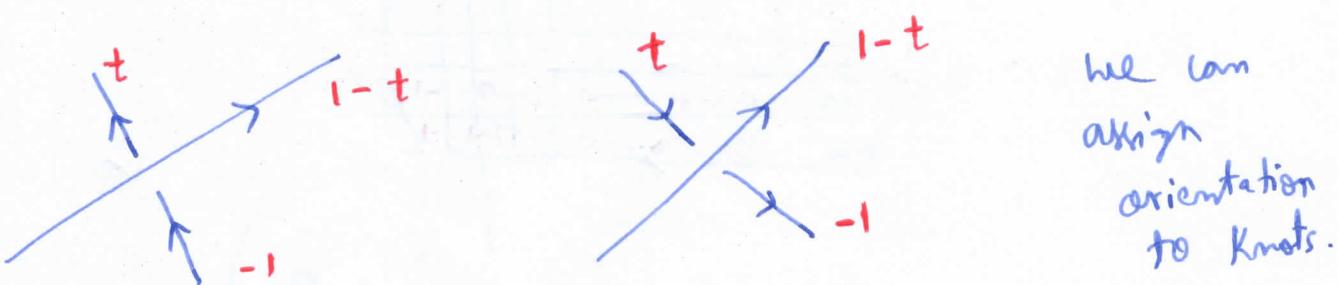
Knot invariants we found.

① Colorability : It's a binary invariant.  
0, 1  
(coloured or not)

②  $\det(k)$  is integer value invariant.

③ We want to develop polynomial invariant of a knot.

### Alexander Polynomial

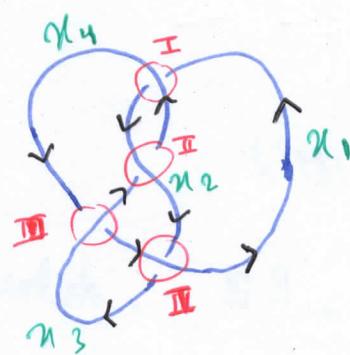


Right Handed  
Crossing

Left Handed  
Crossing



Figure 8



Go choose an orientation.

① is right handed.

② " " " "

③ " left "

④ " " " "

now, build a matrix

$$\begin{matrix} & n_1 & n_2 & n_3 & n_4 \\ \text{I} & -1 & t & 1 & -t \\ \text{II} & 0 & 1-t & -1 & t \\ \text{III} & -1 & 0 & 1-t & t \\ \text{IV} & 1-t & t & -1 & 0 \end{matrix}$$

(pg 15)

delete any one row & column.

$$\left( \begin{array}{cccc} -1 & t & 0 & 1-t \\ 0 & 1-t & -1 & t \\ -1 & 0 & 1-t & t \\ 1-t & -t & -1 & 0 \end{array} \right)$$

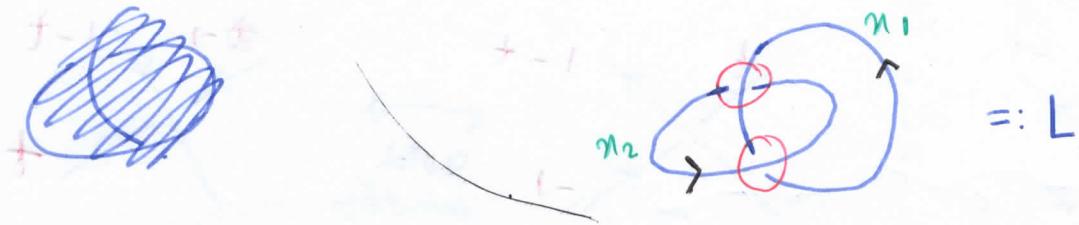
$$\det(M) = -1(1-t)^2 - t(0-1) + 0 = -(1-2t+t^2) + t$$

~~$\det(M) = -t^2 + 3t - 1$~~

$\Rightarrow \det(M) = -t^2 + 3t - 1$  } we call this Alexander Polynomial.

$\Delta_{S_1}(t) = -t^2 + 3t - 1$

We can also calculate Alexander polynomial for links.



$$\left( \begin{array}{cc} t-1 & 1-t \\ 1-t & t-1 \end{array} \right) = t-1 = \Delta_L(t)$$

$\Delta_K(t)$  is invariant up to  $\pm t^m$

$$\Delta_K(t) \in \mathbb{Z}[t, t^{-1}]$$

LAURENT POLYNOMIAL

$t^{-1}$  piece because  
same wire going  
down. so just add the two  
effects:  $t + (-1)$   
 $= t-1$



$$\Delta_{S_2}(t) = 2t^2 - 3t + 2$$

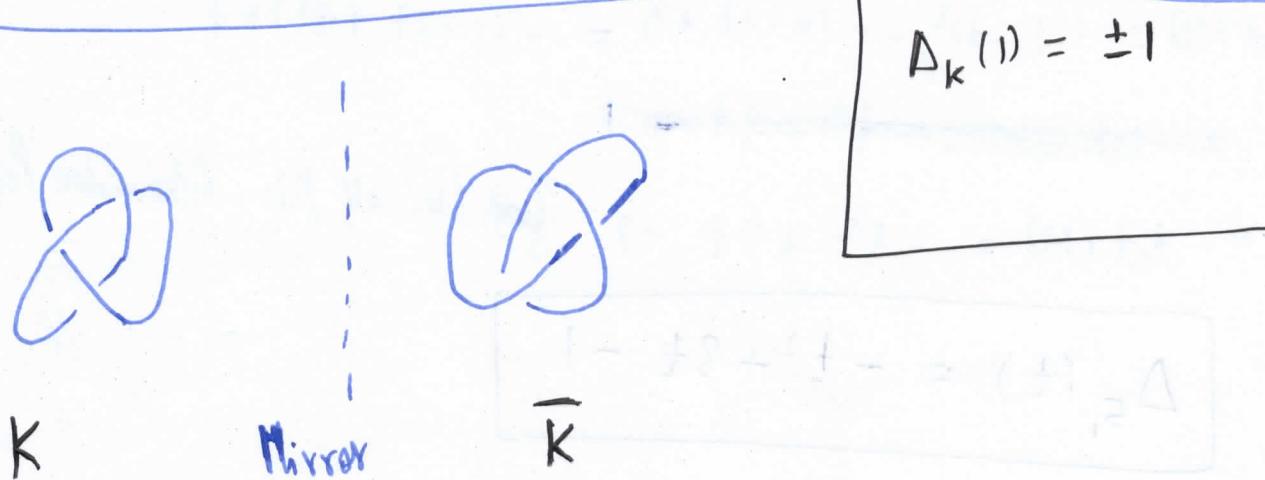
$$= 2t - 3 + 2t^{-1}$$

$$\det(K) = \Delta_K(-1)$$

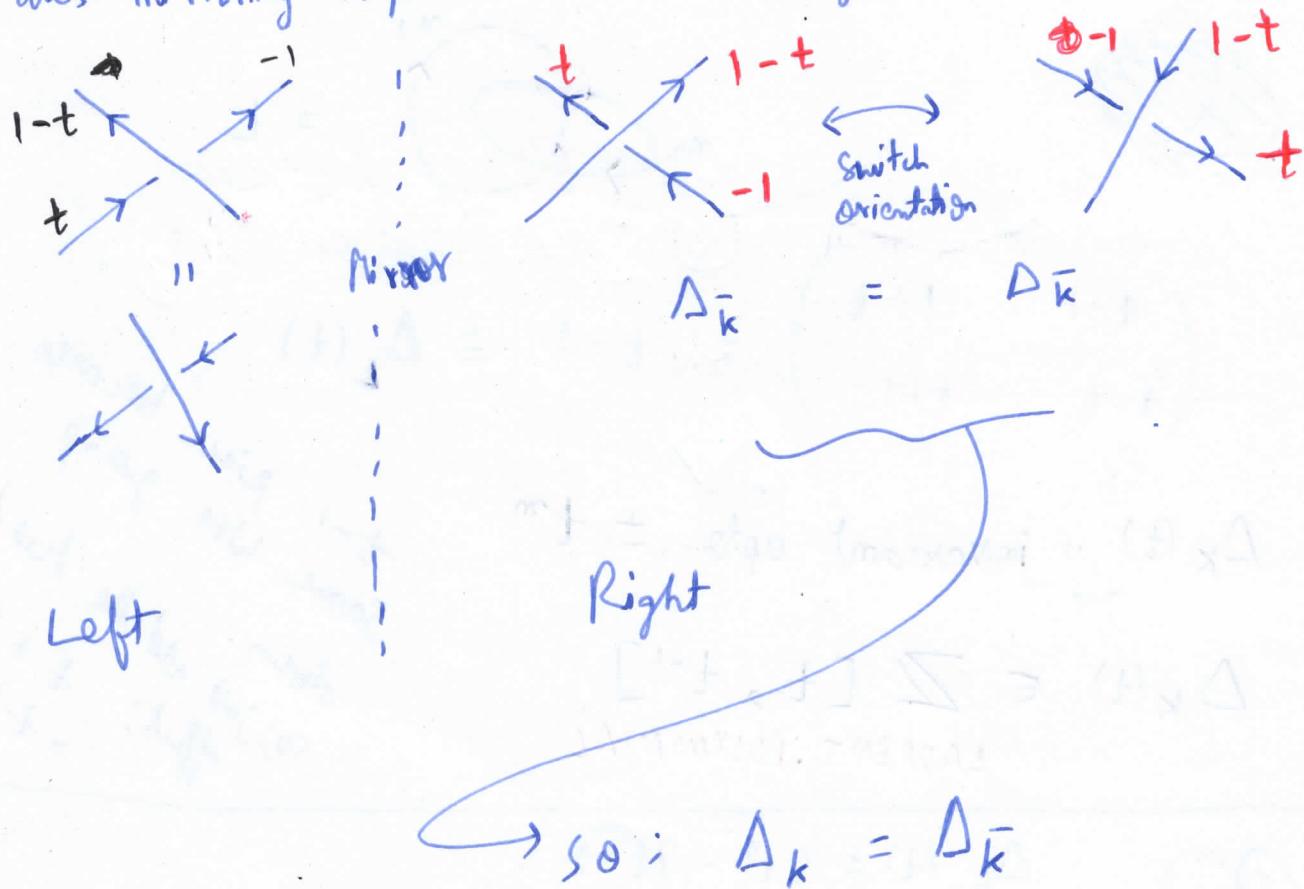
1g18

Note  $K$  is 2-colorable  $\Rightarrow \det(K)$  is odd.

What about  $\Delta_K(1)$ . we find  $\underline{\Delta_K(1) = \pm 1}$ .



How does mirroring impact to Alexander Polynomial.



$\Delta_K$  is preserved under mirror image.

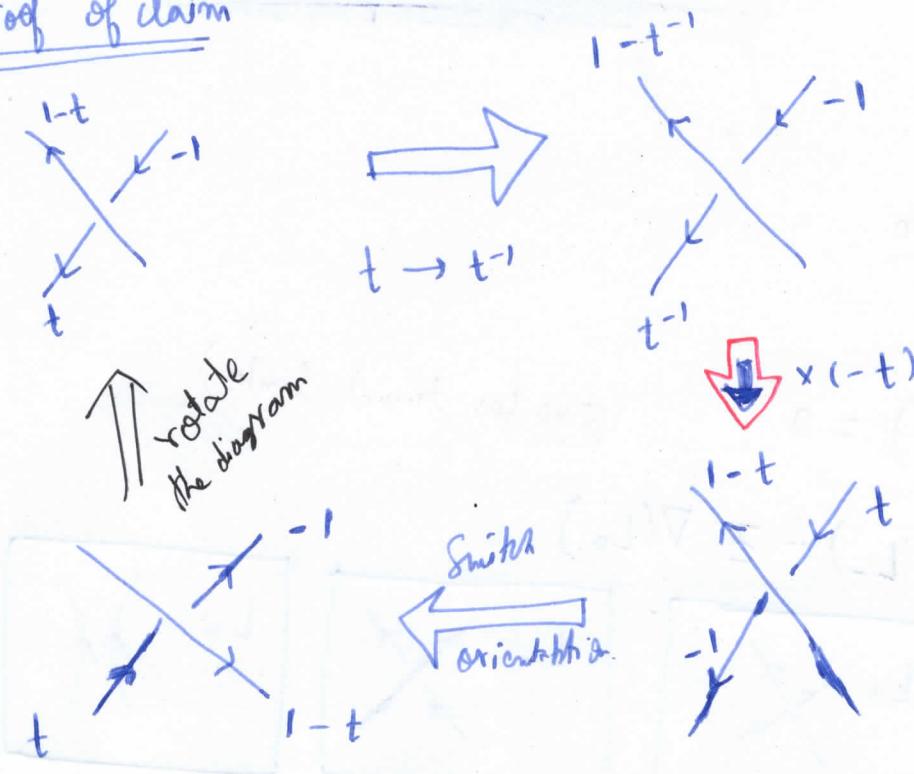
Claim:  $\Delta_k(t) = \Delta_k(t^{-1})$  upto  $\pm t^m$ . (1917)

e.g.  $\Delta_{S_1}(t) = -t^2 + 3t - 1$

$$\Delta_{S_1}(t^{-1}) = -t^{-2} + 3t^{-1} - 1 \equiv -1 + 3t - t^2 = \Delta_{S_1}(t)$$

$(\times t^2)$

Proof of claim



~~A Laurent Polynomial represents the Alexander~~

A Laurent Polynomial  $f(t)$  represents the Alexander polynomial of some knot.



(1)  $f(+1) = \pm 1$

(2)  $f(t) = f(t^{-1})$

(upto  $\pm t^m$ )

e.g.  $f(t) = 5t^2 - 9 + 5t^{-2}$

# Conway Polynomial

(1718)



## Skein Relation

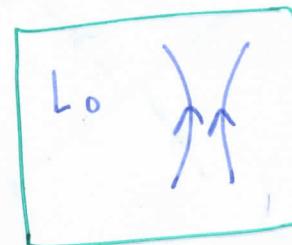
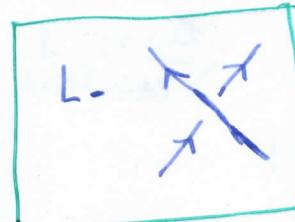
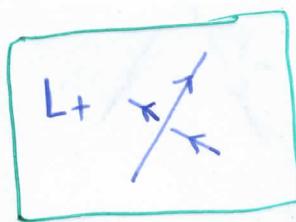
1.  $\nabla(0) = 1$
2.  $\nabla(0 \text{ } \dots \text{ } ) = 0$  (zero for trivial links)
3.  $\nabla(L_+) - \nabla(L_-) = z \nabla(L_0)$  (for trivial links)

## Skein Relation

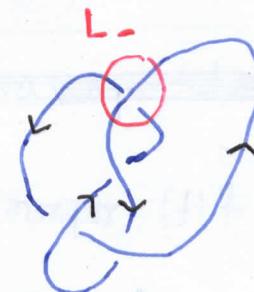
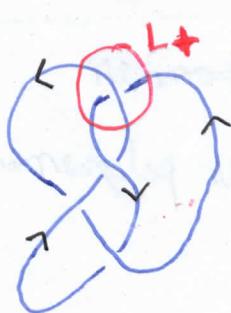
$$\text{I. } \nabla(0) = 1$$

$$\text{II. } \nabla(0 \text{ } \dots \text{ } ) = 0 \quad (\text{zero for trivial links})$$

$$\text{III. } \nabla(L_+) = \nabla(L_-) - z \nabla(L_0)$$

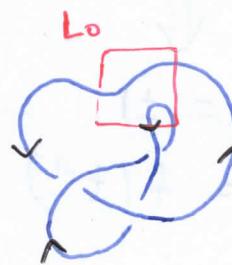


ex)



$$= 0$$

$$\text{so; } \nabla_{L-} = 1$$



$$= L_- M_-$$

call it  $M_+$

$L_+$

$L_0$



$$\text{so; } \nabla_{M_0} = 1$$

$$\nabla_{M+} = 0$$

$$\cancel{\text{so}} \Rightarrow \nabla_{M_-} = \nabla_{M_+} + z \nabla_{M_0} \Rightarrow \nabla_{L_-} = z \cancel{\nabla}$$

$$\leftarrow \text{so; } \nabla_{L_0} = z.$$

$$\text{so; } \nabla_{L_+} = \nabla_{L_-} - z \nabla_{L_0} = 1 - z \cdot z \\ = 1 - z^2$$

$$\text{so; } \nabla(\mathfrak{h}_1) = 1 - z^2$$

$\mathfrak{h}_1$  is Figure Eight Knot.

$$\boxed{\Delta_K(t) = \nabla_K(t^{1/2} - t^{-1/2})}$$

$$\Delta_{\mathfrak{h}_1}(t) = 1 - (t^{1/2} - t^{-1/2})^2 = 1 - (t - 2 + t^{-1}) \\ = -t + 3 - t^{-1}$$

upto  $(\pm t^m)$



Lec 4: Surfaces & genus

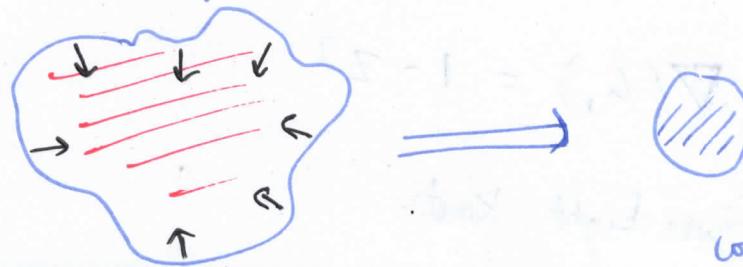
Unknot bounds  
a disk.

What kind of surface does  
Trefoil bounds?



does it bound disks.

If Trefoil bounded a disk



contradiction.

Then we could move the knot  
inward into the disk ; and ~~result~~  
would get an unknot.

But we know, Trefoil is not an unknot  
so; it does not bound a disk.

\* only unknot bounds a disk.

Surfaces: Compact, Orientable, without Boundary.

Non example of surface

Plane  
not compact  
no boundary.

Klein bottle  
(can't differentiate  
between inside &  
outside); not  
orientable

Möbius Strip



not orientable.  
has boundary.

Classification : Compact, Orientable, Surfaces  
without boundary

①



genus = 0

 $\cong$ 

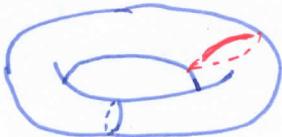
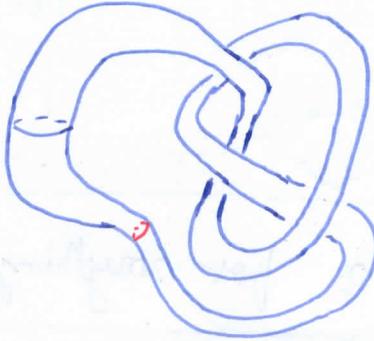
HS

equivalent  
uptoHomeomorphism.  
(bi continuous  
map) $F = 6, E = 12$  $V = 8$ 

faces F  
edges E  
vertices V

$$F - E + V = 2$$

②

 $\cong$ 

Torus  
genus=1

③



2-holed torus

genus

genus = 2

④

 $g+1$ 

genus = g

g-HOLED TORUS

for sphere // genus = 0

proof of  $F - E + V = 2$  via induction.

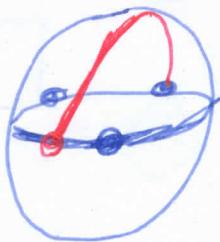
(i)  $F = 2$



we see  $E = V$

$$\Rightarrow F - E + V = 2$$

(ii) add faces



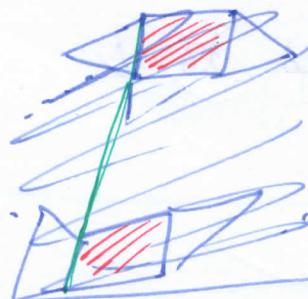
~~Then~~  $F$  go up by +1.

$E$  " " " "

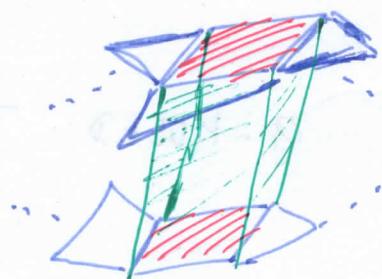
$$\text{so; } \begin{matrix} F & -E & +V & = 2 \\ (+1) & (+1) & & \\ & & +1 & \\ & & -1 & \checkmark \end{matrix}$$

so,  $F - E + V = 2$  for anything homeomorphic to sphere.

~~g=0~~



$g=1$



Be Remove & join through



$\Rightarrow$  so we get hole

$$\begin{matrix} F & -E & +V & = 0 \\ (m-2) & (+m) & (2-\underline{\underline{2}}) & \end{matrix} \Rightarrow \boxed{F - E + V = 0}$$

on torus.

$$\underline{F - E + V = 2 - 2g} \quad \text{for anything homeomorphic}$$

to  $g$ -Holed Torus.

(1923)

we call it  $\chi$ , ie: Euler **Characteristic**.

We have  $\chi = 2 - 2g$  for genus  $g$  surface.

What happens when you add a boundary?

what is euler characteristic now



drill out a little face for a given boundary component.  
 (  $\Leftarrow$  like taking a bite of  $g$  holed donut.)

for each boundary component we remove a face.

so;  $\chi$  decreases 1

so: genus  $g$  surface with 1 boundary component

$$\chi = 2 - 2g - 1$$

Genus  $g$  surface with  $b$  boundary components

$$\chi = 2 - 2g - b$$

Now, let's come back to the question what kind of surface does a knot bounds.



If  $K$  is the boundary of a surface  $\Sigma$ ,

$$\text{then } \chi(\Sigma) = 2 - 2g(\Sigma) - 1$$

$\Sigma$  is orientable compact surface.

because one boundary component.

what about Trefoil.



It is mobius band actually

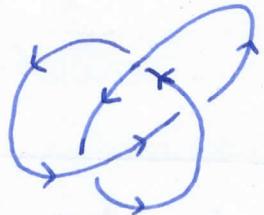
give three twist & join.

so; This Surface bounded by Trefoil is not orientable.

(Can we look for orientable surface that a Knot bounds?)

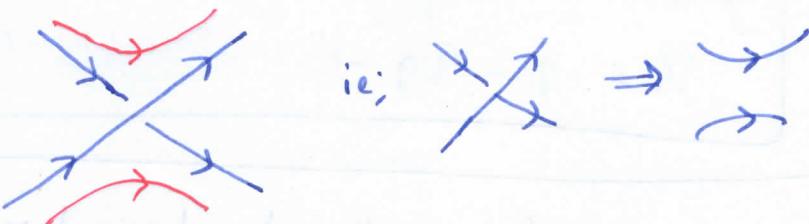
How to find an orientable surface:

(Seifert's  
Algorithm)



Take some orientation.

Then smooth out crossings.



So; Smoothing out Trefoil

we get



; ie;



we also have crossings

Crossings are like band connecting top and bottom disk.

(Pg 25)

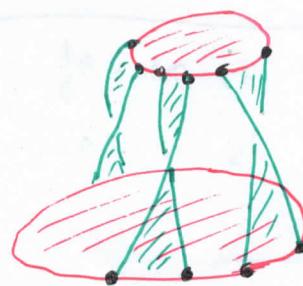
→ band with half twist.



Half Twisted Band



So, we finally get



It is  
orientable.

What is the surface?

What is genus of this surface here.

$$\chi = F - E + V$$



$$\chi(\text{disk}) = 1$$



attaching a band

$\chi$  goes down by 1

for this  $\chi = 2 - 3 = -1$

↓  
2 disks

↓  
3 bands

so;  $\boxed{\chi = (\# \text{ Seifert Circles}) - (\# \text{ Crossings})}$

⇒ So, genus of the surface is 1.

$$X = S - C$$

where

(Pg 26)

~~S~~  $S = \#$  of Seifert circles  
~~C~~  $C = \#$  " ~~crossings~~.

but  $X = 2 - 2g - 1$

$$\Rightarrow S - C = 1 - 2g$$

=

$$g = \frac{1 + C - S}{2}$$

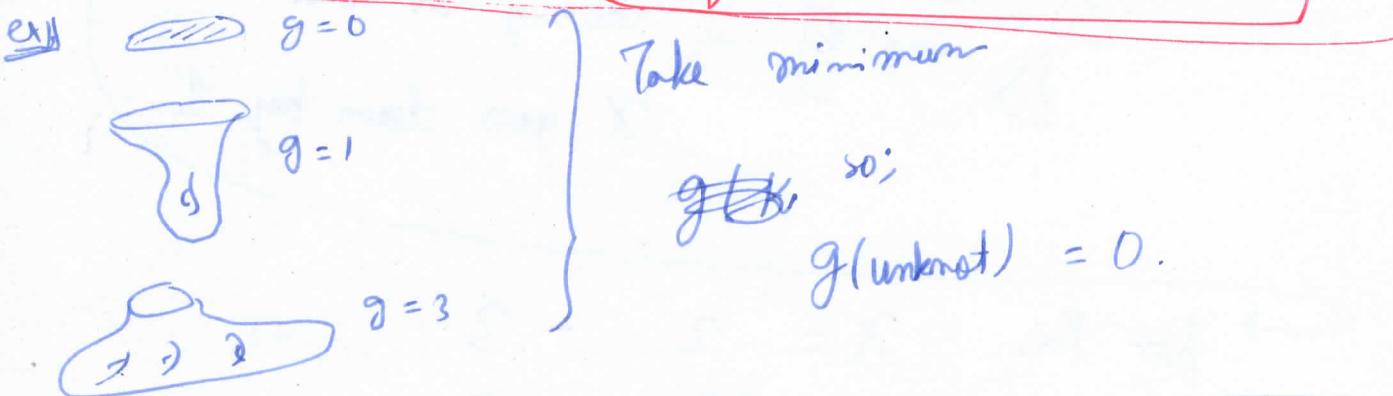
where  $C$  is no. of crossings.  
 $S$  is " " Seifert circles.

$$g(\Sigma) = \frac{1 + C - S}{2}$$

Def<sup>m</sup> The genus of a knot : ~~genus is knot invariant~~

$$g(K) := \min_{\substack{\Sigma \text{ with} \\ \text{boundary } K}} g(\Sigma), \quad \Sigma \text{ with boundary } K.$$

Genus of the knot is by definition knot invariant



ex Trefoil (also called  $\# 3_1$ )

~~for now~~ for now; we have only shown

$$g(3_1) \leq 1$$

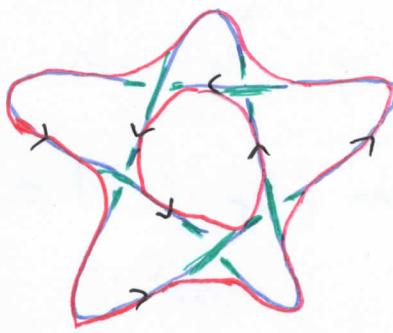
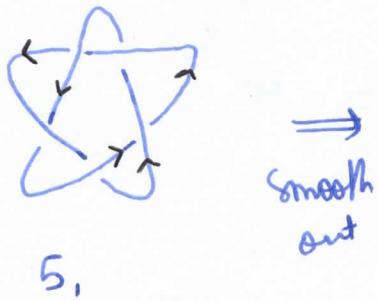
Com  $g(3_1) = 0$ ; we know, only the unknot bounds a disk  
ie; only unknot has genus = 0.

Only the unknot has genus = 0

So;  $g(3_1)$  has to be 1.

$$\Rightarrow g(3_1) = 1$$

lets consider find  $g(5_1)$  ?



2 disk, attached with  
5 twisted band attached.



$$g(\Sigma) = \frac{1 + c - s}{2} = \frac{1 + 5 - 2}{2} = 2$$

$$g(S_1) \leq 2$$

We know  $S_1 \neq \text{unknot}$

This is how we get upper bound on genus.

so;  $g(S_1) \neq 0$  since  $S_1 \neq \text{unknot}$ ,

We have a lower bound on genus, from the following relation.

$$\boxed{\frac{1}{2} \text{Span } \Delta_k(t) \leq g(k)}$$

ex)  $\Delta_{S_1}(t) = t^2 - t + 1 - t^{-1} - t^{-2}$   
 $= t^4 - t^3 + t^2 - t - 1 + t^0$

Span ( $\Delta_{S_1}(t)$ ) = Difference between highest & lowest coefficient

$$= 2 - (-2) = 4$$

or

$$= 4 - 0 = 4$$

So: Span ( $\Delta_{S_1}(t)$ ) = 4

so:  $\frac{1}{2} \cdot 4 \leq g(S_1) \Rightarrow \boxed{2 \leq g(S_1)}$

but we found  $g(S_1) \leq 2$

Hence  $\boxed{g(S_1) = 2}$

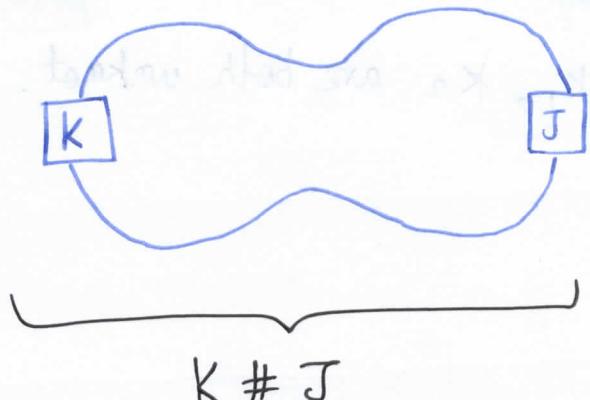
ex  $\Delta_{B_1}(t) = t^1 - t + t^{-1}$

$$\text{Span } \Delta_{B_1}(t) = 1 - (-1) = 2 \Rightarrow \frac{1}{2} \cdot 2 \leq g(B_1)$$

$$\Rightarrow 1 \leq g(B_1)$$

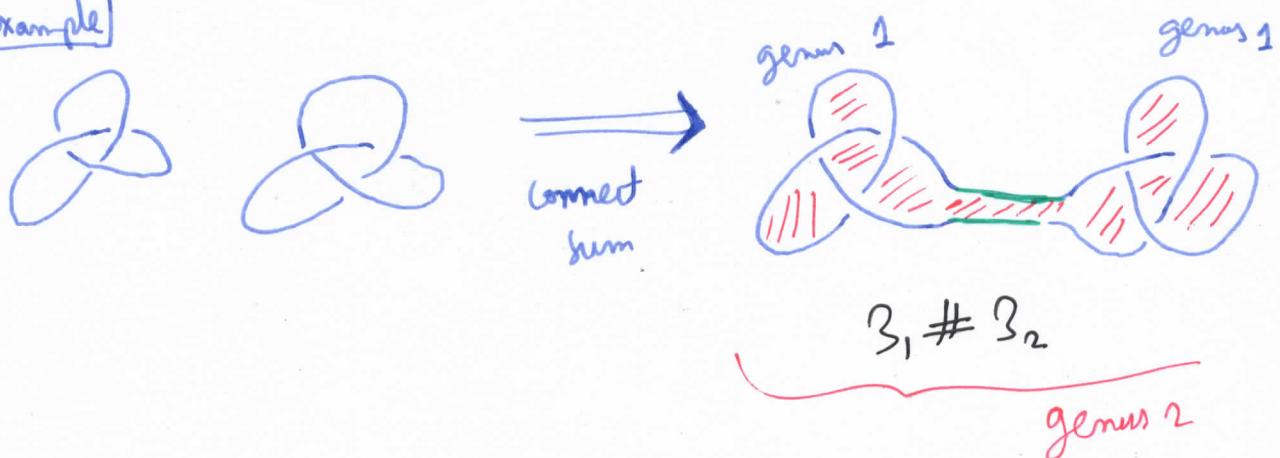
we showed  $g(B_1) \leq 1$

$\Rightarrow \boxed{g(B_1) = 1}$



(K connect sum J)

example



Question when does  $K \# J = \text{unknot}$  ?

Proposition  $g(K_1 \# K_2) \leq g(K_1) + g(K_2)$

but, we can show :  $g(K_1 \# K_2) = g(K_1) + g(K_2)$

Recall  $g(\text{unknot}) = 0$

$g(K) > 0 \quad K \neq \text{unknot}$

~~Geometrically~~:  $g(K_1 \# K_2) = g(\text{unknot}) = 0$

$$\Rightarrow g(K_1) + g(K_2) = 0$$

but  $g(K_1) \geq 0, g(K_2) \geq 0$

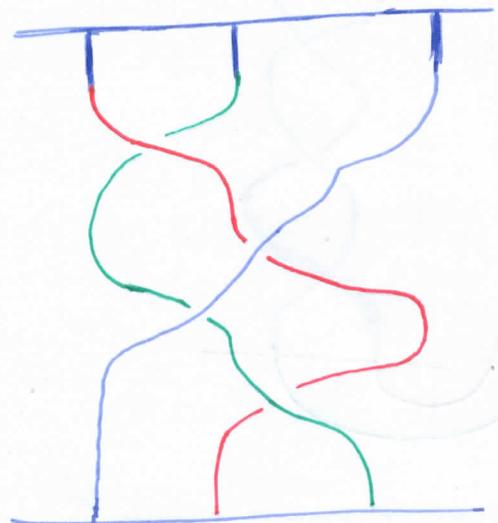
$\Rightarrow K_1, K_2 \text{ both have to be unknot.}$

Nence we proved the following corollary:

(1930)

Corollary:  $K_1 \# K_2 = \text{unknot} \iff K_1, K_2 \text{ are both unknot}$ .

Lec 5: Braids

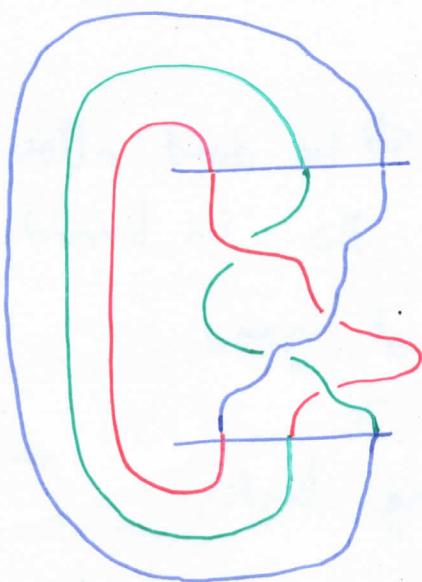


Braid

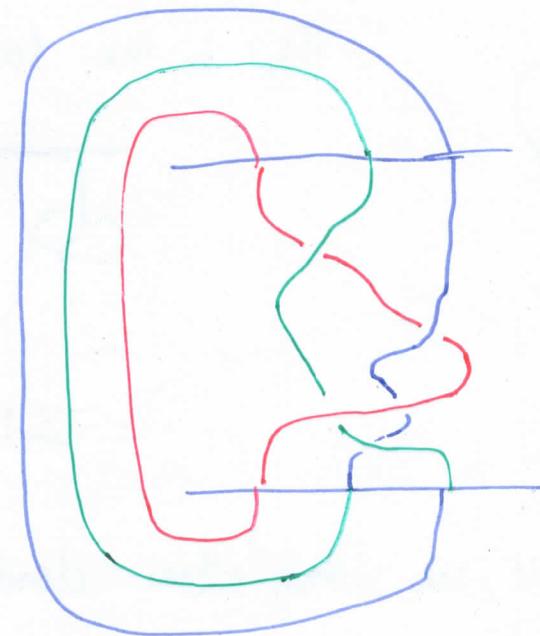
↓ close it

Knot or Link.

i.e;



This is the knot we get after closing the above braid.



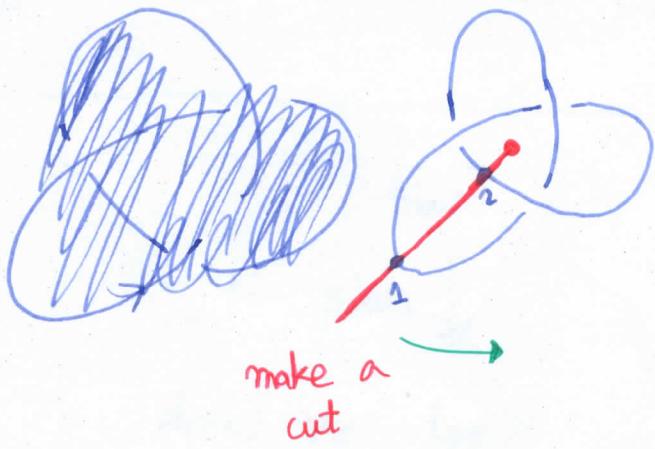
Here we don't get a knot, but a link.

Can we go backward:

If we began with a knot; can we turn it into a braid.

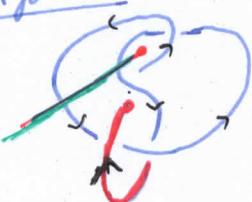


Turn it into a braid; which closes up to this knot.



$$(\zeta_1^{-1})(\zeta_2^{-1})(\zeta_3^{-1}) = \zeta_1^{-3}$$

Figure 8



This is no longer well behaved braid.



we don't allow  
this in braid..

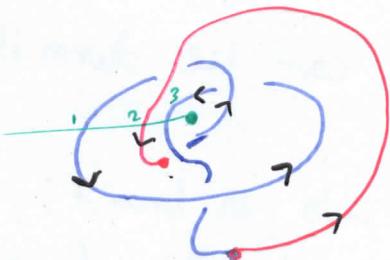
... It's not good.

In braid, we don't allow doubling back.

To move into a braid, we need the knot to always be counter clockwise with respect to some center.

→ There is issue with the red part.  
... grab it, and pull it over the centre point.

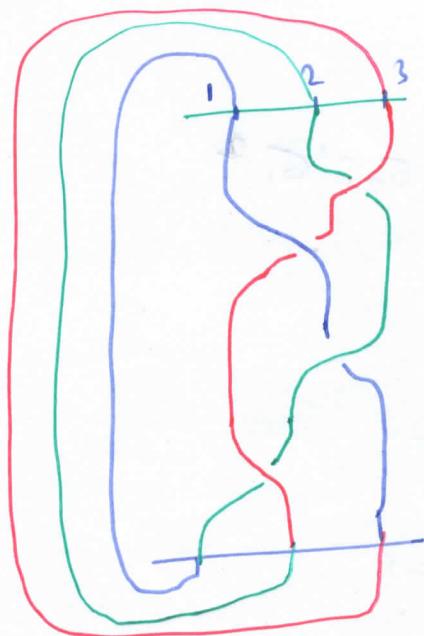
we get



Now; all is moving  
counter-clockwise (ccw)

(Pg 33)

Took overcrossing / undercrossing that is cw and pull over to make ccw.

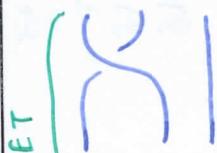


Using braid words we write it as

$$\sigma_2^{-1} \sigma_1, \sigma_2^{-1} \sigma_1 \}$$

→ This is a word that describes a braid that ~~closes up to~~ give figure 8 ~~knot~~.

Braid words:

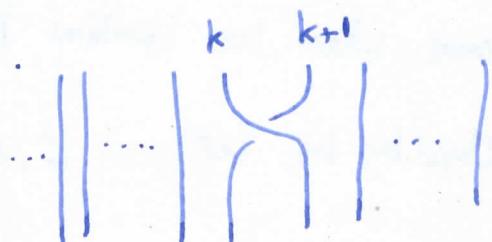


ALPHABET

$$\sigma_1$$



$$\sigma_2$$



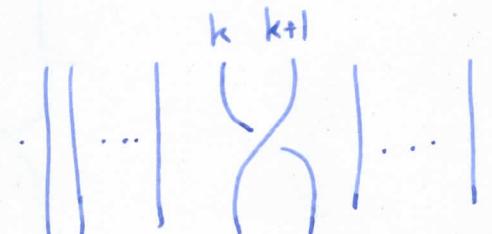
$$\sigma_k$$



$$\sigma_1^{-1}$$



$$\sigma_2^{-1}$$



$$\sigma_k^{-1}$$

Now does  $\sigma_1, \sigma_1^{-1}$  looks

$$\text{so: } \underline{\sigma_1, \sigma_1^{-1} = 1}$$

It is not braided.



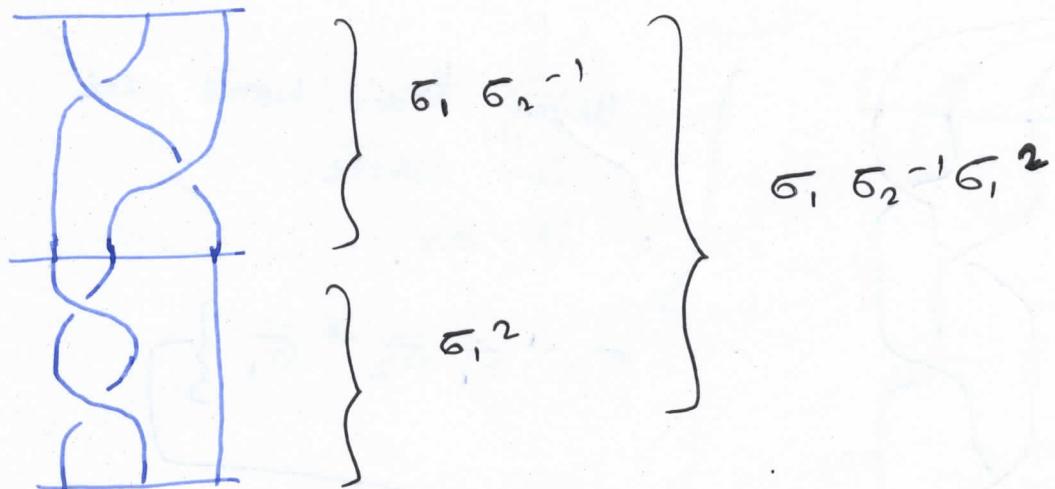
=  
use R II rule



we call it  
1 (identity)

We can multiply braids by stacking them.

(Pg 34)

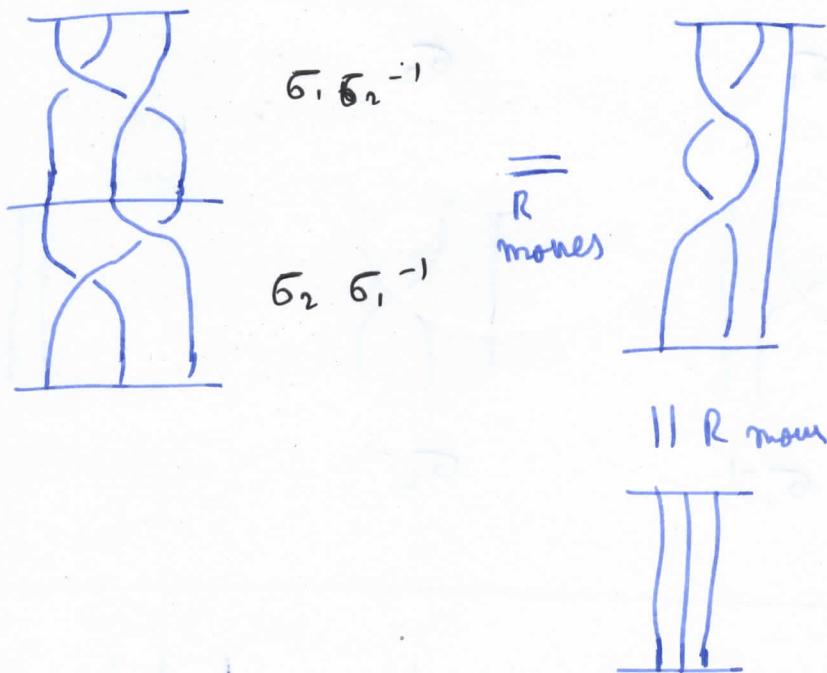


Ex) Inverse of  $\sigma_1 \sigma_2^{-1}$  is  $\sigma_2 \sigma_1^{-1}$

because when we combine them,

algebraically we see  $\sigma_1 \sigma_2^{-1} \sigma_2 \sigma_1^{-1} = \sigma_1^1 \sigma_1^{-1} = \sigma_1 \sigma_1^{-1} = 1$

lets see it



Inverse of  $(\sigma_{i_1}^{k_1} \sigma_{i_2}^{k_2} \dots \sigma_{i_m}^{k_m})$  is

$$(\sigma_{i_n}^{-k_m} \dots \sigma_{i_1}^{-k_1})$$

$$(\sigma_{i_1}^{k_1} \sigma_{i_2}^{k_2} \dots \sigma_{i_m}^{k_m})^{-1} = \sigma_{i_m}^{-k_m} \dots \sigma_{i_1}^{-k_1}$$

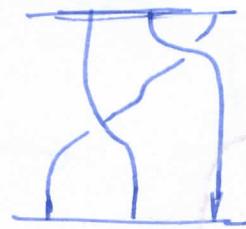
Is the multiplication commutative?

(Pg 35)

$G_1 G_2$



$G_2 G_1$



They both look like mirror image

But; It is associative.

So; if we take Braid with  $n$ -strings;  
we see that, we have

- Identity
- Inverse
- Associative

So; They form a group.

It is called The Braid group with  $n$ -strings.

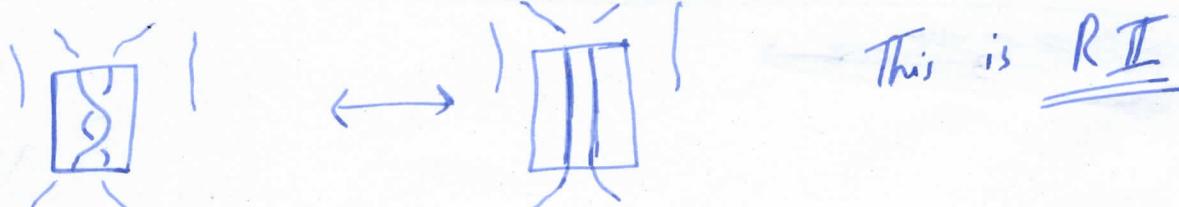
Do Knots form a group?

- We have identity; which is unknot.
- But we don't have inverses.

so; Knots don't form a group.

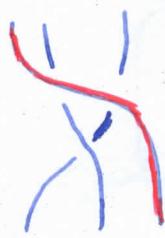
How do we know that two braids are same?

We can add or remove  $G; G_i^{-1}$  or  $G_i^{-1} G$ .



This is RII

We can also do R III



$\sigma_1 \sigma_2 \sigma_1$

$\sigma_2 \sigma_1 \sigma_2$

We can switch  $\sigma_i \sigma_{i+1} \sigma_i \leftrightarrow \sigma_{i+1} \sigma_i \sigma_{i+1}$



$\sigma_1 \sigma_3$



$\sigma_3 \sigma_1$

We can switch  $\sigma_i \sigma_j \leftrightarrow \sigma_j \sigma_i$

when  $|i-j| > 1$ .

Braids are equivalent if they are represented by words that are equivalent upto a sequence of such moves.

(Alexander, 1923)

Theorem Braids are equivalent iff

they are represented by words that are equivalent upto a sequence of such moves

Example  $\sigma_2^{-1} \sigma_1 \sigma_3^{-1} \sigma_2 \leftarrow$

Example:

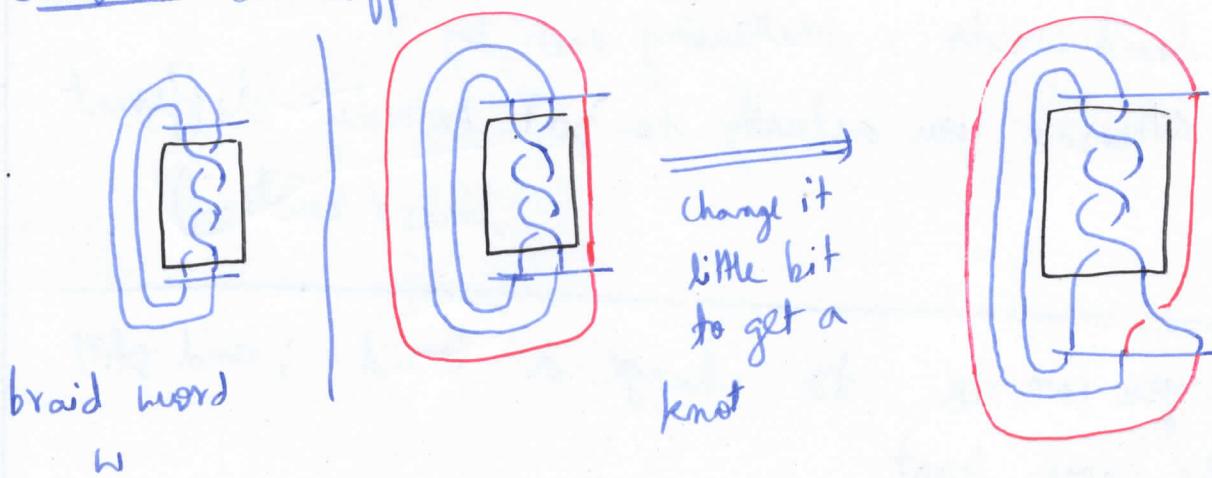
$$\sigma_2^{-1} \sigma_1 \sigma_3^{-1} \sigma_1^{-1} \sigma_2 \rightarrow \cancel{\sigma_2^{-1} \sigma_1 \sigma_3^{-1} \sigma_1^{-1} \sigma_2^{-1}} \downarrow$$

$$\sigma_2^{-1} \sigma_3^{-1} \sigma_2$$

Ag37

$\sigma_2^{-1} \sigma_1 \sigma_3^{-1} \sigma_1^{-1} \sigma_2$  and  $\sigma_2^{-1} \sigma_3^{-1} \sigma_2$  are equivalent braids.

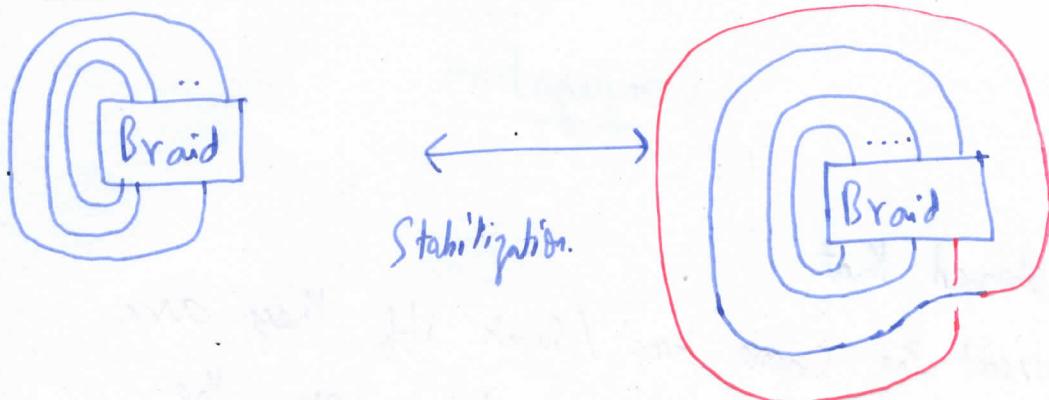
Question Can different braids close to same knot or link?



Braid word for  
this is  $w\sigma_2$

The two words  $w$  &  $w\sigma_2$  represent the same knot.

$w \longleftrightarrow w\sigma_2$   
Stabilization



radias M

Start with  $m$  strings ; add

(Pg 38)

$\sigma_m, \sigma_m^{-1}$  end

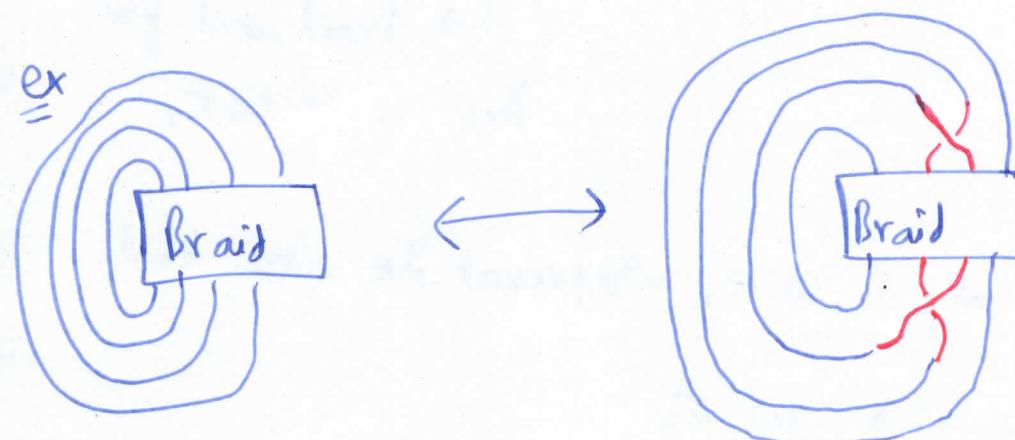
or  $\sigma_i, \sigma_i^{-1}$  beginning.

(If you add  $\sigma^i$  or  $\sigma^{-i}$  to the beginning of a braid word, you necessarily have to change the values of the rest of the braid words, increasing each by 1.

Otherwise you actually do get entirely different links / knots.)

---

What else you can do to change a braid ; and still get the same knot.



$w \longleftrightarrow w \longleftrightarrow \sigma_i w \sigma_i^{-1}$

Conjugation

Markov showed that

Two words represent the same knot / link iff they are related by stabilization, or conjugation, or the three moves that preserve the braid.

# Knot / Link preserving moves (n-string braid)

(Pg39)

- add / remove  $\sigma_i \sigma_i^{-1}$
- Switch  $\sigma_i \sigma_j \leftrightarrow \sigma_j \sigma_i$  when
- Switch  $\sigma_i \sigma_{i+1} \sigma_i \leftrightarrow \sigma_{i+1} \sigma_i \sigma_{i+1}$
- add  $\sigma_m, \sigma_m^{-1}$  at end ;  $\sigma_1, \sigma_1^{-1}$  at beginning
- $w \leftrightarrow \sigma_i w \sigma_i^{-1}$

Example  $\sigma_2^{-1} \sigma_1 \sigma_2^{-1} \sigma_1 \rightarrow \sigma_2^{-1} \sigma_1 \sigma_2^{-1} \sigma_1 \sigma_3$

Braid for figure  
eight

$$\downarrow$$

$$\sigma_1^{-1} (\sigma_2^{-1} \sigma_1 \sigma_2^{-1} \sigma_1 \sigma_3) \sigma_1$$

$$\downarrow$$

$$\sigma_1^{-1} \sigma_2^{-1} \sigma_2^{-1} \sigma_1 \sigma_2^{-1} \sigma_1^2 \sigma_3$$

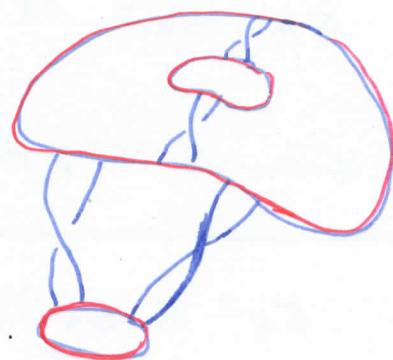
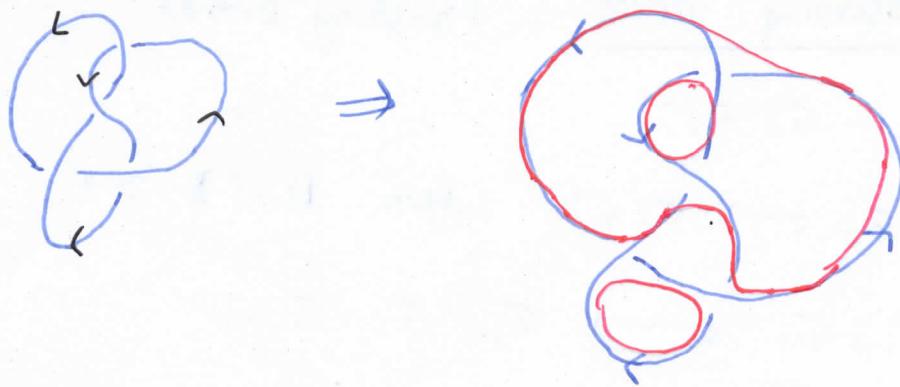
1  $\rightarrow \sigma_1 \sigma_1^{-1} \rightarrow \sigma_1 \sigma_1^{-1} \sigma_2 \rightarrow \dots$   
 unknot can make complicated knot.

Minimum number of strings needed in a braid to represent K ; This no. is called Braid index of K.

ex  $br(\text{figure-eight}) \leq 3$ . : we can show  
 $br(\text{figure-eight}) = 3$

Theorem ||

$br(K) =$  minimum number of S-circles needed for a diagram of K.



Die Zelle ist sehr stark  
vergrößert

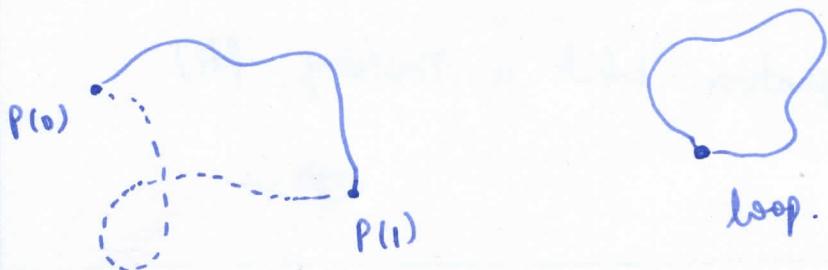
Während dieser Zellvergrößerung =  $(\times) \times$   
→ die Membranen sind

Lec 6: Fundamental Group.

A path  $p : [0, 1] \longrightarrow X$  (topological space)

has endpoints  $p(0)$  &  $p(1)$ .

Called a loop if  $p(0) = p(1)$ .

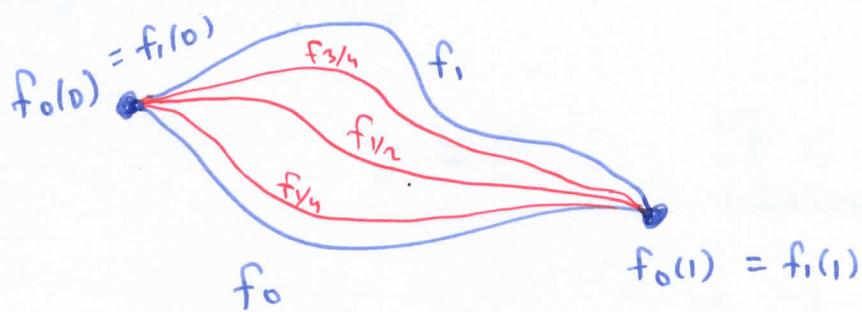


A homotopy between paths  $f_0, f_1$  is a continuous map with same endpoints.

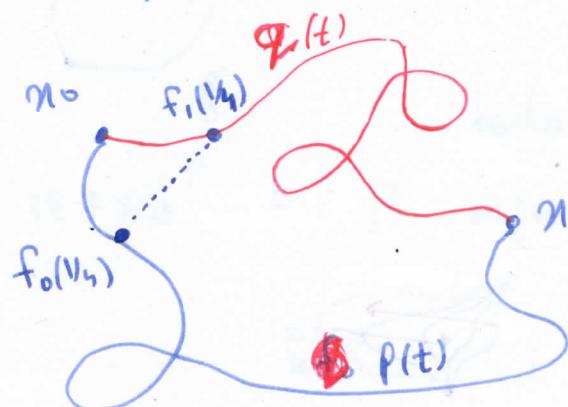
$$F(s, t) : [0, 1] \times [0, 1] \longrightarrow X$$

$$\text{where } F(s, t) = f_t(s)$$

$$\text{such that } f_t(0) = f_0(0), f_t(1) = f_1(1) \quad 0 \leq t \leq 1$$

Example:

Any two paths in  $\mathbb{R}^2$  with endpoints  $n_0, n_1$  are homotopic.



Let  $p(t), q(t)$  be paths from  $n_0$  to  $n_1$ ,

$$\text{i.e., } p(0) = q(0) = n_0 \\ p(1) = q(1) = n_1$$

Define  $f_t(s) = (1-t)p(s) + t\alpha_V(s)$

pg 42

Note at  $t=0$ ;  $f_0(s) = p(s)$

$t=1$ ;  $f_1(s) = \alpha_V(s)$

&  $f_t$  continuously as  $t$  varies.

$f_t$  is like family of equation which is moving  $p(s)$  path to  $\alpha_V(s)$  path.

We can multiply paths:

$f, g : [0, 1] \rightarrow X$  if

~~$f \circ g : [f(0s), f(0s+2s)] \rightarrow X$  if  $0 \leq s \leq \frac{1}{2}$~~

$$f(1) = g(0)$$

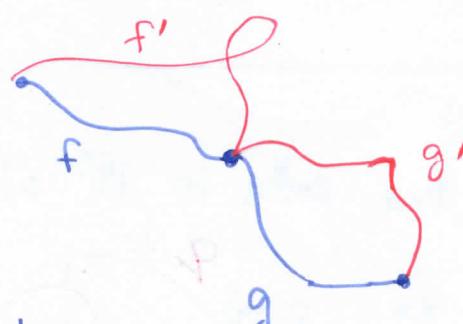
~~$f \circ g : [f(2s), f(2s+2s-1)] \rightarrow X$  if  $0 \leq s \leq \frac{1}{2}$~~

$$f \circ g = \begin{cases} f(2s) & : 0 \leq s \leq \frac{1}{2} \\ g(2s-1) & : \frac{1}{2} \leq s \leq 1 \end{cases}$$



Note If  $f \simeq f'$ ,  $g \simeq g'$

Then  $f \circ g \simeq f' \circ g'$

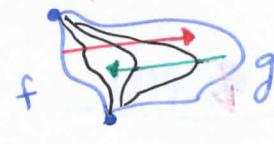


Homotopy is an equivalence relation:

• Reflexive:  $f \simeq f$  ; define  $f_t = f$   $0 \leq t \leq 1$

• Symmetric:  $f \simeq g \Rightarrow g \simeq f$

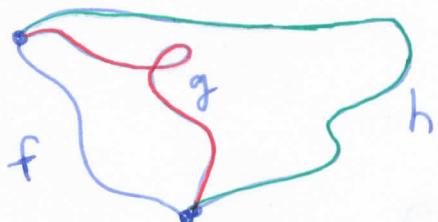
$$f_t \quad f_{1-t}$$



• Transitive

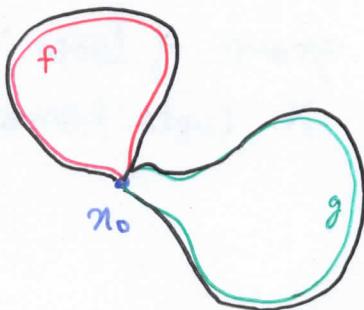
If  $f \simeq g, g \simeq h$

Then  $f \simeq h$ .



Fixing some base point  $n_0 \in X$ , the set of loops based at  $n_0$  with the operation  $\circ$  is a group.

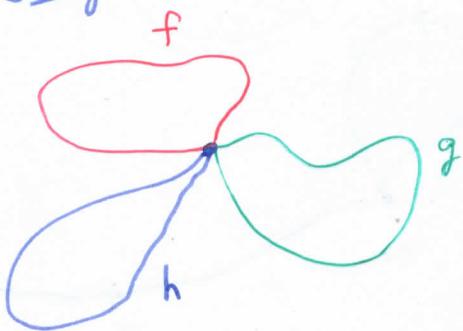
Group operation  $\circ$



$f \circ g$

\* Associative  $(f \circ g) \circ h \simeq f \circ (g \circ h)$

~~Identity~~



$$(f \circ g) \circ h \simeq f \circ (g \circ h)$$

s  $\frac{\text{times}}{\text{---}}$

$$f \sim \gamma_1$$

$$g \sim \gamma_2$$

$$h \sim \gamma_3$$

we travel the same; but at different rates.

... homotopy will adjust the speed.

\* Identity : c constant ;  $c(s) = n_0$

$$f \circ c \simeq f$$

\* Inverse

we denote inverse of  $f$  by  $\bar{f}$ .

(1945)

$$\bar{f}(s) = f(1-s)$$

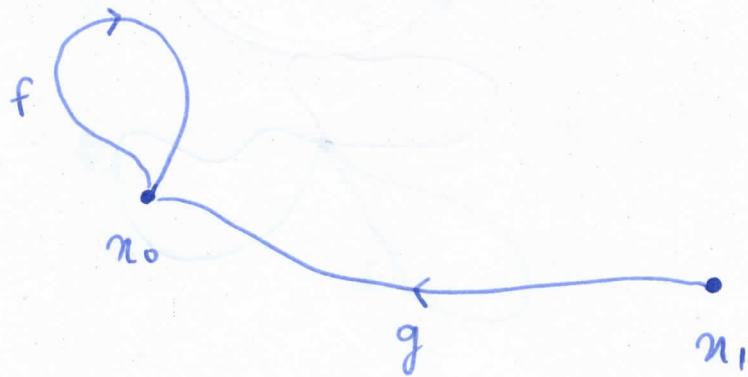
$$f \cdot \bar{f} \simeq c$$



does not  
move at all.

The group of loops in  $X$  based at  $n_0$  is denoted by  $\pi_1(X, n_0)$  and is called the fundamental group of  $X$ .

group of loops in  
 $X$  (upto homotopy)



$$\pi_1(X, n_0) \cong \pi_1(X, x_1)$$

isomorphic

$$f \longleftrightarrow g \cdot f \cdot \bar{g}$$

as long as  $X$  is path connected.

So, can just write  $\pi_1(X)$ ; (don't worry about base point)

Examples

①  $\pi_1(\mathbb{R}^n)$

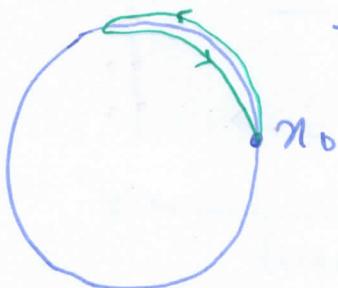


all loops are homotopic.  
so;  $\pi_1(\mathbb{R}^n)$  has only one element.

$\pi_1(\mathbb{R}^n) \cong 1$  Trivial group.

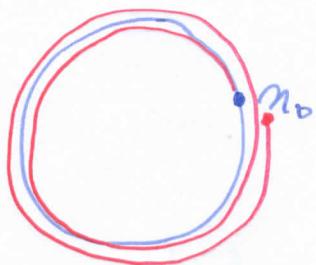
(1945)

② The Circle ( $S^1$ ).



This is equivalent to constant path.

$\approx \approx \approx$ .



going around twice; it can't be homotopic to staying constant.

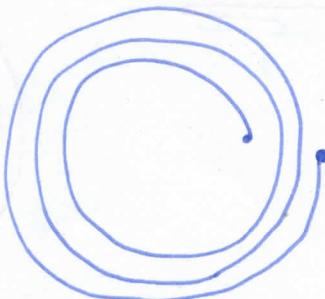
These all paths are living on the circle ... for the sake of clarity we are drawing them outside  $S^1$ .



$\approx$   
homotopic



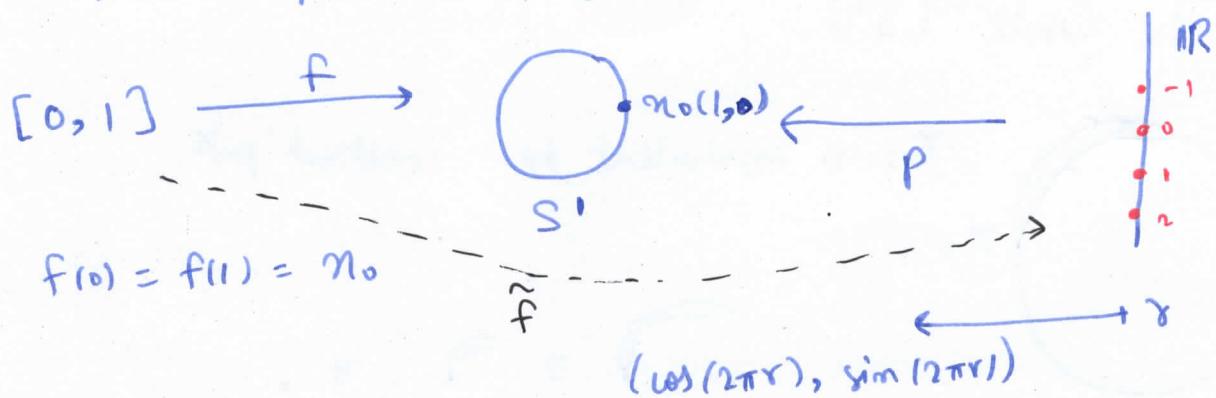
we can have



we can also have negative winding number; going in opposite direction

~~So~~; somehow the ~~not~~ paths here seem to correspond to integers.

(pg 46)



We see that  $n \in \mathbb{Z}$ ,  $p(n) = n_0$ .

given any path  $f$ ; ~~there exists~~

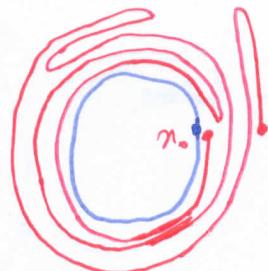
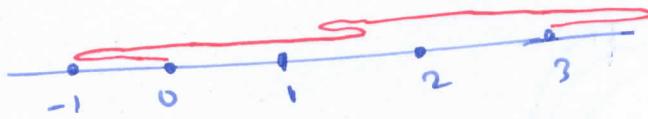
There exists a unique lift  $\tilde{f}$  of  $f$

such that  $\tilde{f}(0)$

Any two paths from 0 to  $n \in \mathbb{Z}$  in  $\mathbb{R}$  are homotopic.

Each  $n \in \mathbb{Z}$  corresponds to a unique path up to homotopy. so;  $\underline{\pi_1(S^1)} \cong \mathbb{Z}$ .

ex



## Brouwer's Fixed Point Theorem

(Pg 47)

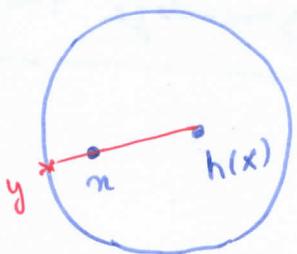
Any continuous map  $h: D^2 \rightarrow D^2$   
 has a fixed point.  $h(n) = n$   
~~ie  $\exists n \in D^2$  s.t.  $h(n) = n$  for some  $n \in D^2$~~

$D^2$  is disk.

Proof] suppose not..

given any  $n$  we get  $h(n)$

$h(x) \neq n$  for all  $x$ .



Define the map  $r: D^2 \rightarrow S^1$   
 (ray map...)  $n \mapsto y$

Note:  $r$  is continuous

let  $f$  be a loop in  $S^1$

Then  $f$  is a loop in  $D^2$

and in the disk;  $f \cong c$

ie; ~~There is~~ Then, there is some  $f_t$  s.t.  $f_0 = f$   
 and  $f_1 = c$ .

Then  $r \circ f_t$  is a homotopy in  $S^1$  from  $f$  to  $c$ .

Hence  $\pi_1(S^1) = 1 \quad \# \text{ contradiction}$

In Calculus:

Any continuous map  $h: S^1 \rightarrow \mathbb{R}$  has some  
 antipodal points  $n, -n$   
 such that  $h(n) = h(-n)$ .



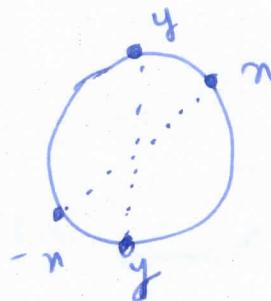
$$f(n) = h(n) - h(-n)$$

Pg 58

If  $f(x) = 0$ ; Then done.

If  $f(n) > 0$ , Then  $f(-x) = -f(x) < 0$

by IV T, there exists  $y$  s.t.  $f(y) = 0$ .

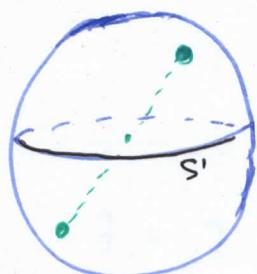


We can generalize this

### Borsuk - Ulam Theorem

If  $f: S^2 \rightarrow \mathbb{R}^2$  continuous;

then there exists ~~one~~ antipodal  $n, -n$   
such that  $f(n) = f(-n)$ .



Temperature, Humidity.

There exists a point on Earth  
s.t.; The diametrically opposite  
point has exactly same temperature  
and humidity.

Proof Suppose not.

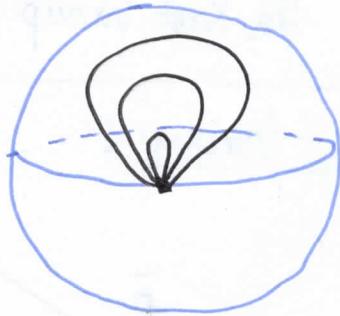
Then, define  $h(x) = \frac{f(x) - f(-x)}{\|f(x) - f(-x)\|}$  unit vector

so;  $h: S^2 \rightarrow S^1$

also note;  $h(-x) = -h(x)$

Any loop on the equator is homotopic to  
constant loop in  $S^2$ . (1949)

via some homotopy  $g_t$ .



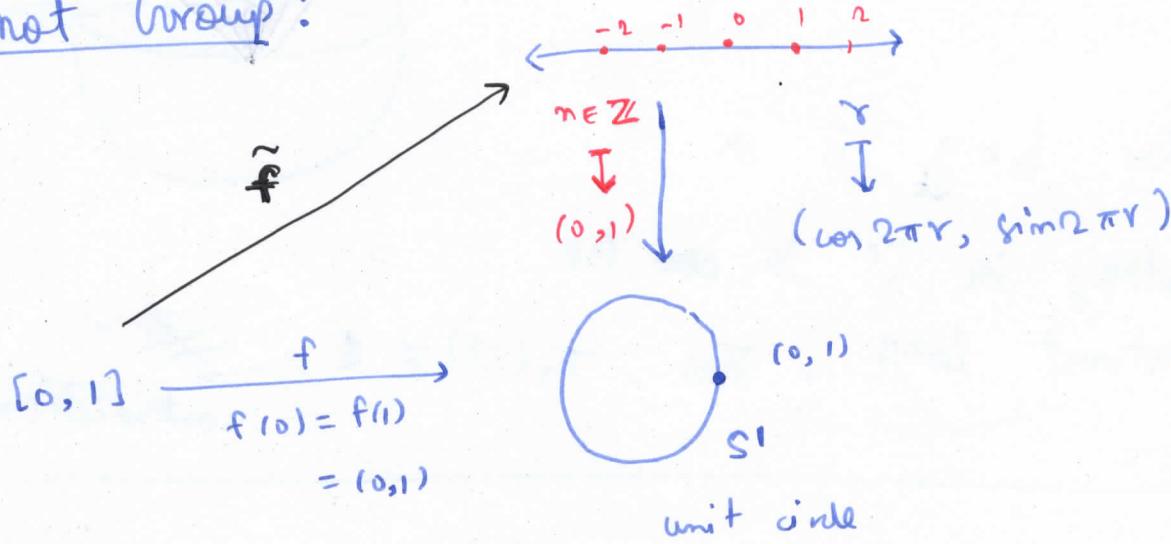
But, then  $h \circ g_t$  is a  
homotopy in  $S^1$  to ~~the~~ the  
constant loop. Thus  $\pi_1(S^1) \cong 1$  ~~contradiction.~~

---

Lec 7: The Knot Group.

Knot Group:

Recall



We can uniquely define a lift  $\tilde{f}$ .

$$\text{s.t. } \gamma \circ \tilde{f} = f$$

$$\text{and } \tilde{f}(0) = 0$$

Every lift of a path ends at some  $n \in \mathbb{Z}$ .

and we get;  $\pi_1(S^1) \cong \mathbb{Z}$



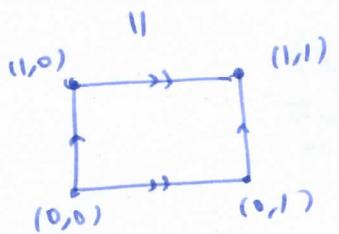
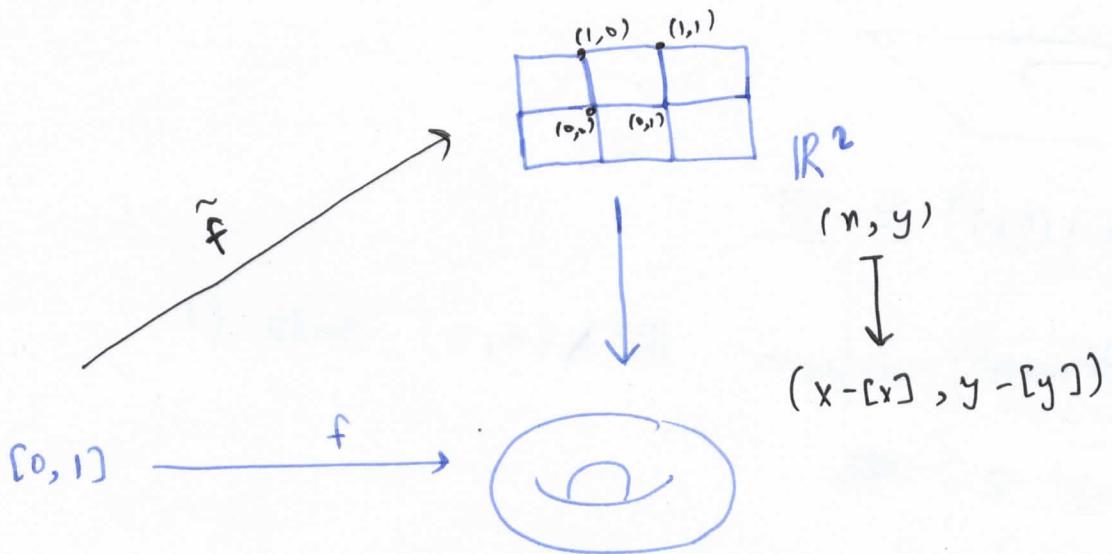
=



$$f: [0,1] \rightarrow \text{trefoil knot}$$

$f(0) = f(1) = n$





$$(m, y) : 0 \leq m < 1 \\ 0 \leq y < 1$$

$\tilde{f}$  s.t.  $\gamma_0 \tilde{f} = f$ ,  
and  $\tilde{f}(0,0) = (0,0)$

$$\tilde{f}^{-1}(0,0) = (m, n) ; m, n \in \mathbb{Z}$$



so, we get

$$\pi_1(\text{Torus}) \cong \mathbb{Z} \times \mathbb{Z} \\ = \{(x, y) : x, y \in \mathbb{Z}\}$$

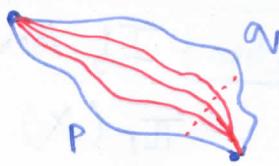
We saw:

$$\pi_1(\mathbb{R}^n) = 0 \quad \text{Trivial group (with 1 element)}$$

any two paths  $p(s)$ ,  $q(s)$  related by a

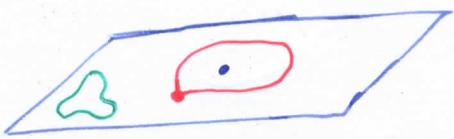
$$\text{homotopy: } f_t(s) = (1-t)p(s) + t q(s)$$

$$f_0 = p \\ f_1 = q$$



what about  $\mathbb{R}^2 \setminus (0,0)$

(pg 52)



$$\pi_1(\mathbb{R}^2 \setminus (0,0)) \cong \mathbb{Z}$$

We can continuously deform  $\mathbb{R}^2 \setminus (0,0)$  onto  $S^1$ :

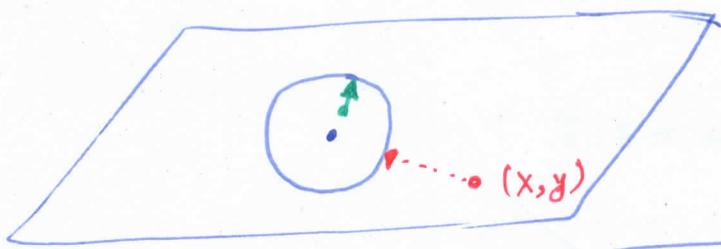
$$f_t(x, y) = \text{Proj}$$

$$f_0(x, y) = (x, y)$$

$$f_1(x, y) = \frac{(x, y)}{\sqrt{x^2 + y^2}}$$

Then  $f_t(x, y) = \frac{(x, y)}{(1-t) + t\sqrt{x^2 + y^2}}$

} Deformation  
Retraction.



for  $(x, y) \in S^1$

$$\sqrt{x^2 + y^2} = 1 ; \text{ hence}$$

$$f_t(x, y)|_{S^1} = \frac{(x, y)}{1-t+t} = (x, y)$$

A Deformation Retraction is a family  $f_t : X \rightarrow A$   
where  $A$  subspace of  $X$

$$f_t : X \rightarrow A$$

continuous in  $t$

s.t.  $f_0 = \text{Id}$

$$f_1(x) = A$$

$$f_t|_A = \text{Id} \quad \text{for all } 0 \leq t \leq 1$$

Proposition If there exists a deformation retraction  $X \rightarrow A$   
then  $\pi_1(X) \cong \pi_1(A)$

so;  $\pi_1(\mathbb{R}^2 \setminus (0,0)) \cong \pi_1(S^1) \cong \mathbb{Z}$

1953

$X =$



can deformately retract to circle

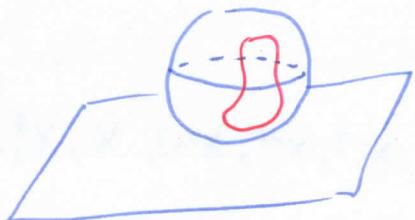
so;  $\pi_1(X) \cong \pi_1(S^1) \cong \mathbb{Z}$ .

$\mathbb{R}^3 \setminus (0,0,0)$  deforms onto  $S^2$ .



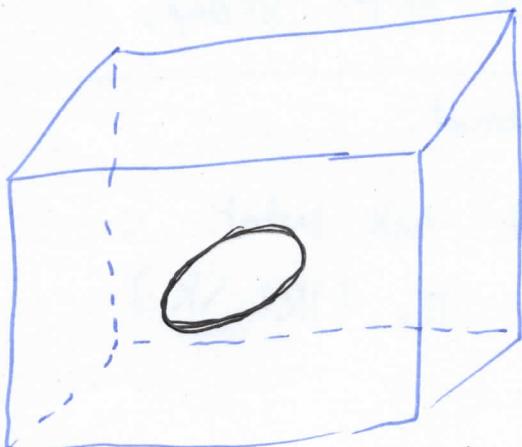
$$(x, y, z) \mapsto \frac{(x, y, z)}{\sqrt{x^2 + y^2 + z^2}}$$

Name;  $\pi_1(\mathbb{R}^3 \setminus (0,0,0)) \cong \pi_1(S^2) \cong \mathbb{Z}$



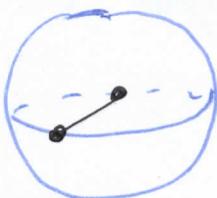
$$\Rightarrow \boxed{\pi_1(\mathbb{R}^3 \setminus (0,0,0)) \cong \mathbb{Z}}$$

$\mathbb{R}^3 \setminus S^1$



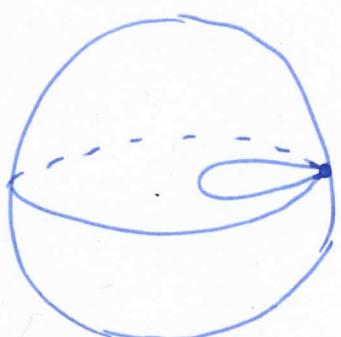
$\mathbb{R}^3 \setminus S^1$

↓ deformation retract to

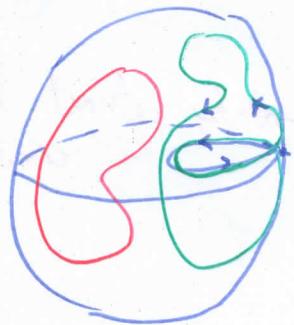


sphere with a line going through it.

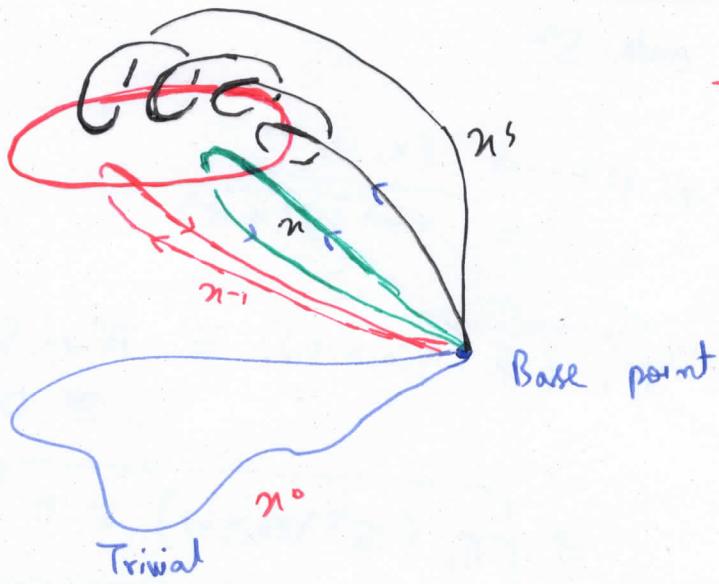
↓ can continuously deform this



Sphere with a loop.



$$\text{so; } \pi_1(\mathbb{R}^3 \setminus S) \cong \pi_1(S) \cong \mathbb{Z}$$



$$\pi_1(\mathbb{R}^3 \setminus S') = \langle n \rangle$$

free group  
generated  
by  $n$ .

~~= f(x)=x,~~

$$\langle n \rangle = \{ \dots, x^{-2}, x^{-1}, x^0=1, x, x^2, \dots \} \\ \cong \mathbb{Z}$$

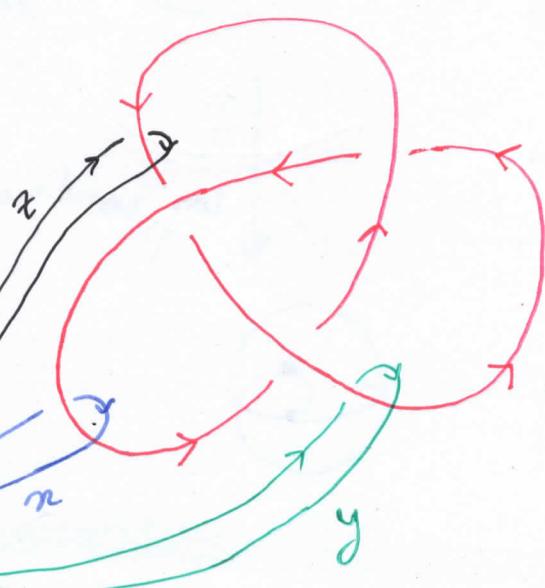
$n$  is called the generator of the group.

What if we began with some knot,

say Trefoil:

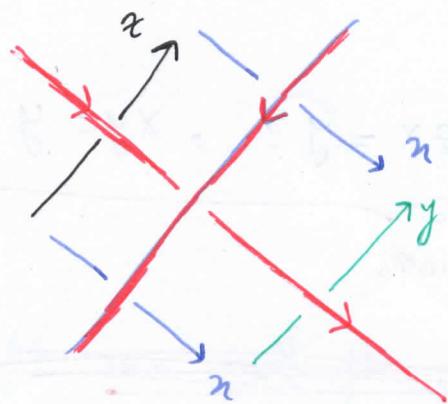
And ask what is  
 $\pi_1(\mathbb{R}^3 \setminus K)$

Ex Trefoil



Any general loop in  $\mathbb{R}^3 \setminus \text{Trefail}$  will be combinations of  $x, y, z$ .

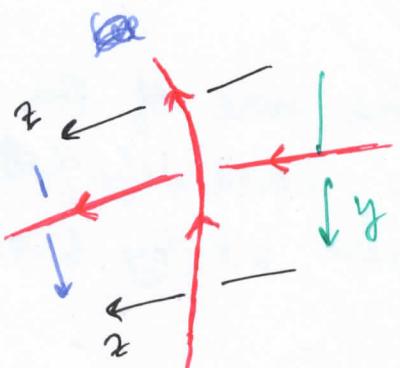
Zoom in to a crossing.



we see that

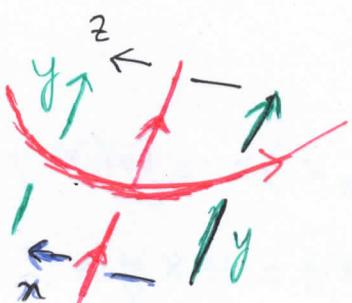
$$z \cdot n = n \cdot y$$

going to other crossing; we see



$$z \cdot n = y \cdot z.$$

finally;  
looking at the last crossing.



$$n \cdot y = y \cdot z.$$

The Knot Group for knot K is  $\pi_1(\mathbb{R}^3 \setminus K)$  Pg 56

for Trefoil:

~~π₁(ℝ³ \ Trefoil)~~

$$\pi_1(\mathbb{R}^3 \setminus \text{Trefoil}) \cong \langle x, y, z : zx = ny, zx = yz, xy = yz \rangle$$

~~$\langle x, y, z : zx = ny \rangle$~~

$$(x) \quad \langle x, y, z : \underbrace{zx = ny}_{\text{generators}}, \underbrace{zx = yz, xy = yz}_{\text{relations}} \rangle$$

~~You can add / remove generators if they are defined~~

You can add / remove generators / relations if they are defined in terms of the other generators / relations.

Notice that in (x) you can remove one of the relations; say  $xy = yz$  (it immediately follows from  $zx = ny$  &  $zx = yz$ )

from first one:  $zx = ny \Rightarrow z = nyx^{-1}$

since  $z = nyx^{-1}$

so we can remove out z;

and as we remove z; we find that

we no more need  $zx = ny$ .

$$\therefore \text{now look at } zx = yz \Rightarrow (nyx^{-1})x = y(nyx^{-1}) \\ \Leftrightarrow xy = yxyx^{-1} \\ \Leftrightarrow nyn = yny.$$

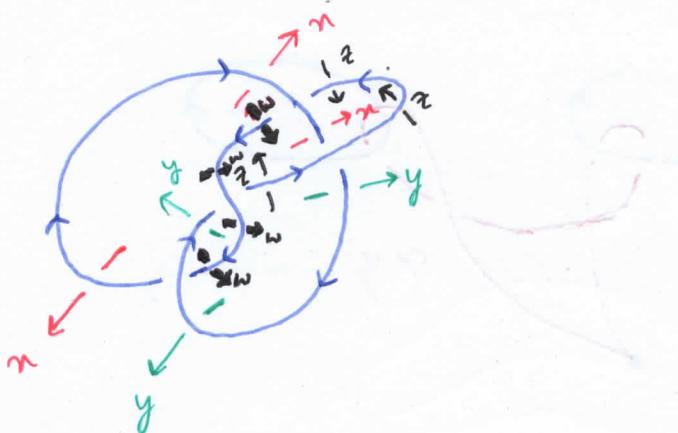
hence we see that

$$\langle x, y, z : zx = xy, zx = yz, xy = yz \rangle$$

$$= \langle x, y : xy = yx \rangle$$

This method works for any knot!

example find  $\pi_1(\mathbb{R}^3 \setminus (\text{figure eight knot}))$



$\pi_1(\mathbb{R}^3 \setminus K)$  (sometimes we just write  $\pi_1(K)$  instead of  $\pi_1(\mathbb{R}^3 \setminus K)$  for the matter of condensed notation)

$$\pi_1(\mathbb{R}^3 \setminus K) \cong \langle x, y, z, w : wy = yw, yw = wz, wz = wx, zx = yz \rangle$$

$$y = wzw^{-1} \quad \text{so; remove } y \text{ & } yw = wz.$$

$$w = xz^{-1} \quad \text{so; remove } w \text{ & } xz = wz.$$

Example Trivial link with two components

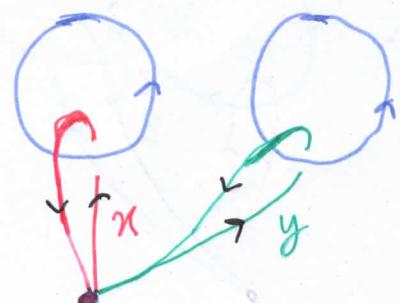
$L :$



$$\text{What is } \pi_1(\mathbb{R}^3 \setminus L) \cong \langle x, y \rangle$$

(no relations)

~~is called free~~



~~group~~  $\langle x, y \rangle$  is called free group of rank 2.

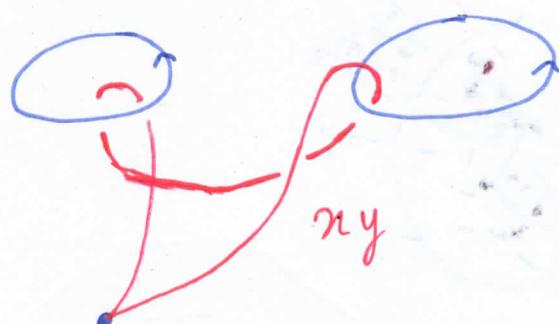
$\pi_1(\mathbb{H}^3 \setminus L) \cong \langle x, y \rangle$  free group of rank 2  
 not abelian.  $\leftarrow$  because no relation  
 $\downarrow$  because generated by 2 generators.

Pg 58

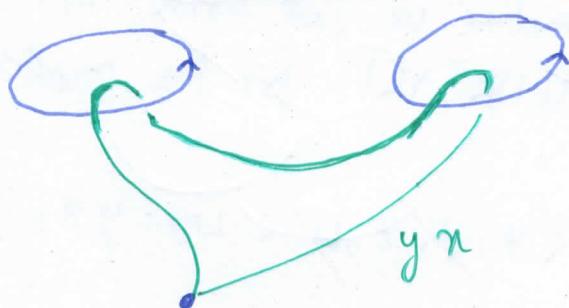
Notice that in the free group  $\langle xy \rangle$

$$ny \neq y^n$$

(i)  $ny$

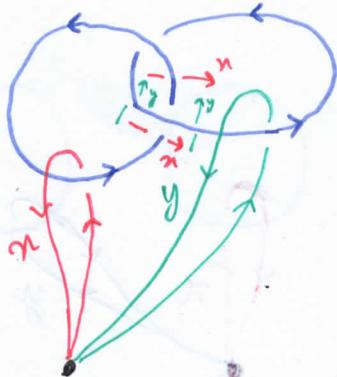


(ii)  $y^n$



We can convince ourselves that;  $ny$  &  $y^n$  are fundamentally different things.

Ex)



here we have relationship at working.

$$ny = y^n$$

$$\pi_1(\mathbb{H}^3 \setminus L) \cong \langle x, y : ny = y^n \rangle$$

free abelian group of rank 2

In this group:

e.g.; we can simplify a word like  $x y^2 x^{-1} x^3$ .

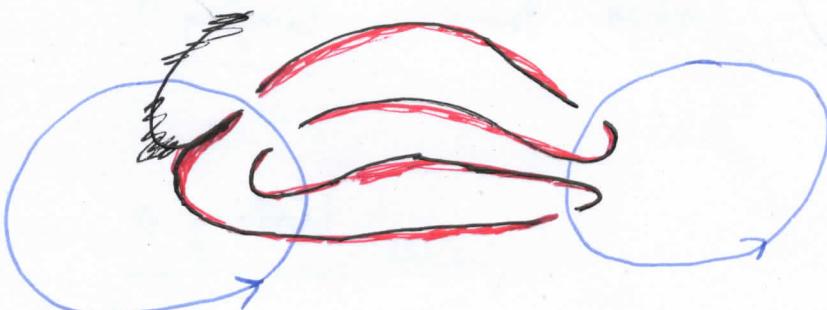
i.e;  $x y^2 x^{-1} y^3 = x x^{-1} y^2 y^3 = 1 \cdot y^5 = y^5$ .

ex1 free link

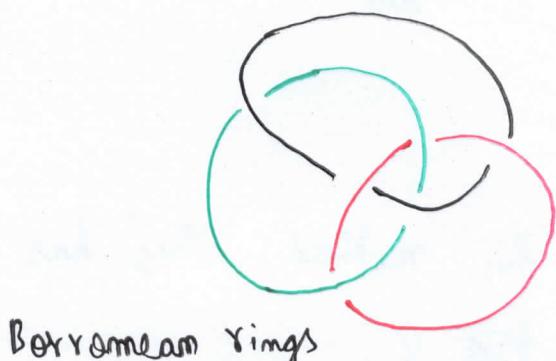


Consider the word  $x y x^{-1} y^{-1}$

(note since the group is not abelian:  
 $xy x^{-1} y^{-1} \neq 1$ )



So; we get



so; This

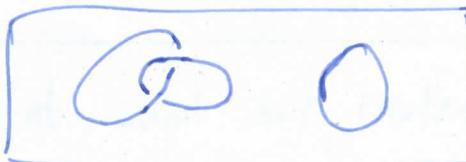
These can't be  
detached.

but here; we link the loops as



Then the group becomes abelian.

& we can get



done.

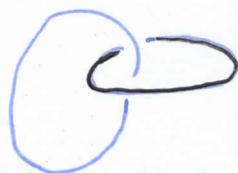
## Knot Theory

Shoaib Akhtar 22/7/2020 (Pg 60)

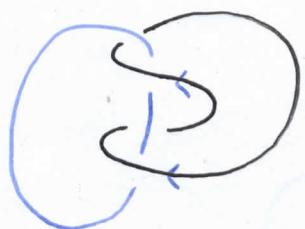
### Lee 8: Linking Number

We want to develop a theory of how linked are links.

We want to keep the intuition that



linked once. linking 1



linked twice. linking 2



linking 0

Method ~~BB~~

Sum up  $\frac{1}{1}$  no. of times black passed over blue.

but there is a problem.



; according to this method; This has linking ~~to~~ 2.

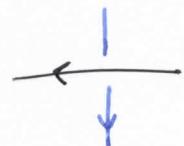
→ has ~~not~~ linking 0.

but  $(\text{trefoil}) = \text{unlinked components}$

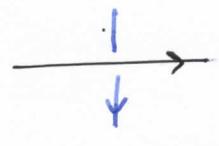
So; we see that somehow we have to keep track of orientation.

So the better method we develop is.

(pg 61)



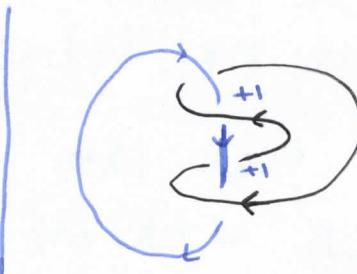
+1 Right Hand



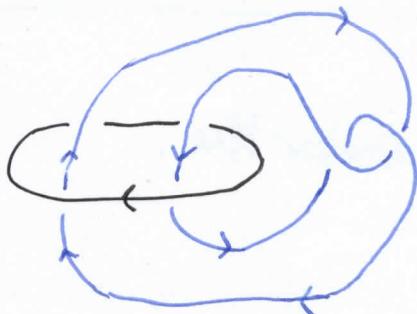
-1 Left Hand.



linking 1



linking 2



Linking 0

has linking zero.

Now linked are blue & black components.

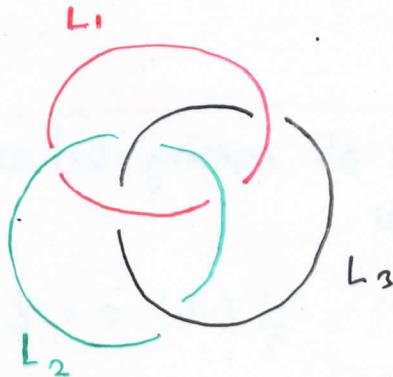
This has linking zero;

but we cannot deform it to  $\textcircled{O}$   $\textcircled{O}$

So; the method we had develop to talk about linking no.  
does not distinguish  $\textcircled{O}$   $\textcircled{O}$  and



ex Borromean rings.



$L_1, L_2, L_3$  are linking components.

lets denote "linking number between  $L_i$  &  $L_j$ "  
by  $\text{lk}(L_i, L_j)$

(1962)

so; ~~if~~  $\text{lk}(L_1, L_2) = 0$

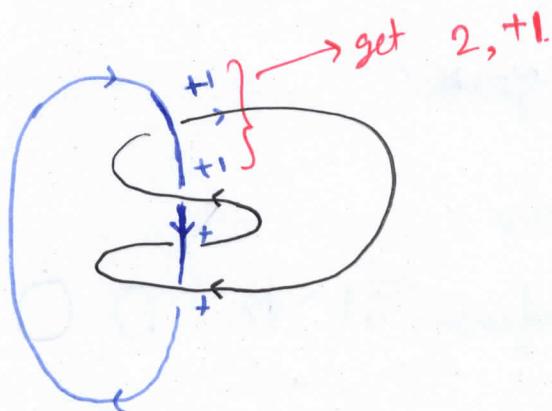
$$\text{lk}(L_2, L_3) = 0$$

$$\text{lk}(L_1, L_3) = 0$$

so; we see that; even when we have linking zero  
between components  $\Rightarrow$  we can still have higher order  
linking.

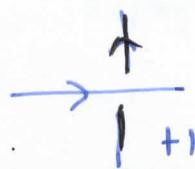
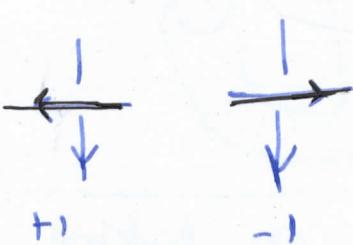
Now method      Method (2)

we can also look at when black goes under blue.

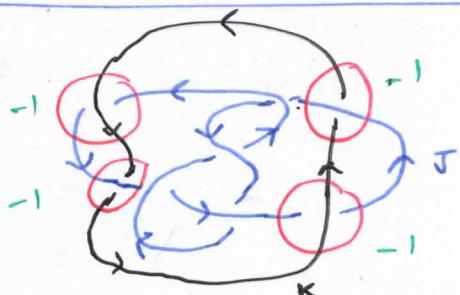


so; ~~if~~  $\frac{(+1) + 1 + 1 + 1}{2} = 2$

Sum up all wrappings.



Then divide total by 2.



look at all crossing between two links.

$$\text{lk}(K, J) = \frac{1}{2} (-4) = -2,$$

Proposition

Change orientation of  $K$  to  $-K$



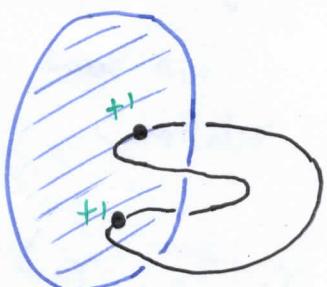
$$\text{so: } \text{lk}(-K, J) = -\text{lk}(K, J)$$

$$\text{lk}(-K, -J) = \text{lk}(K, J)$$

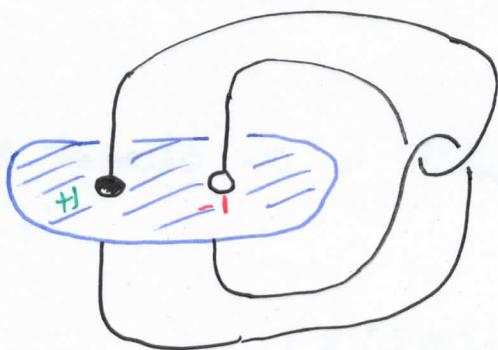
linking number is defined upto sign depending on choice of orientation.

Method 3

## Seifert Surfaces Perspective

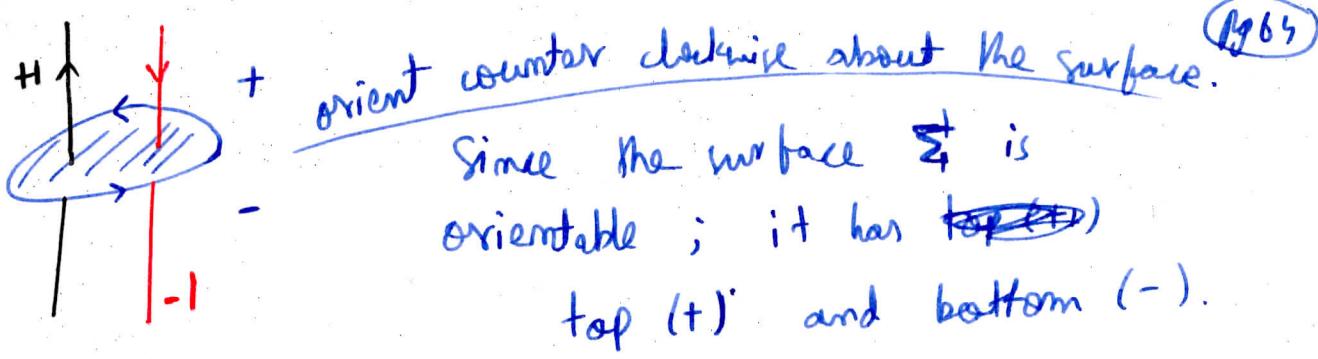


passes twice through the surface.



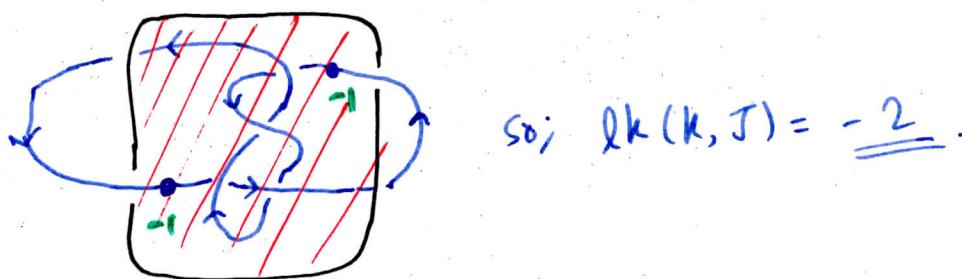
let  $K$  bound some Seifert (S.) surface  $\Sigma$

Then  $\text{lk}(K, J) = \underset{\text{count times } J \text{ passes through } \Sigma}{\text{signed}}$

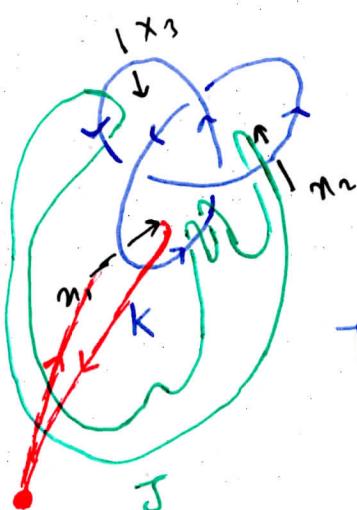


$lk(K, J) = \text{Signed count times } J \text{ passes through } \Sigma^{(k)}$

Ex



#### Method 4 || Fundamental Group Perspective



$\pi_1(\mathbb{R}^3 \setminus K)$  is represented by  $\langle x_1, x_2, x_3 : r_1, r_2, r_3 \rangle$   
with some relation >

$\pi_1(\mathbb{R}^3 \setminus K) = \langle x_1, x_2, x_3 : r_1, r_2, r_3 \rangle$   
 $r_1, r_2, r_3$  are relations.

Can represent  $J$  by a word ; which is an element of the fundamental group.

here;  $J = n_1^2 n_2 n_3^{-1} \in \pi_1(\mathbb{R}^3 \setminus K)$

linked twice	linked one more time	unlinked one time
link +2	link +1	link -1

(pg 63)

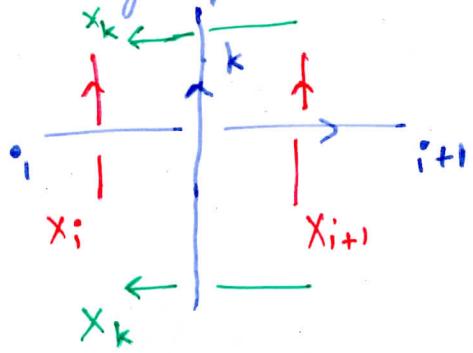
$$\text{total linking} \quad 2 + 1 + (-1) = 2.$$

$\text{lk}(K, J) = \text{sum of the exponents in the word}$   
 representing  $J$  in  $\pi_1(\mathbb{R}^3 \setminus K)$

We can convince ourselves :  $\text{lk}(K, J) = \text{lk}(J, K)$ .

$$\text{lk}(K, J) = \text{lk}(J, K)$$

Knot group has relations:



$$\text{relation } x_k x_i = n_{i+1} x_k$$

(These relations are interesting; because in general the group is non-abelian.)

so;  $\pi_1(\mathbb{R}^3 \setminus K)$  is generally not abelian.



let everything commute

ABEL ( $\pi_1(\mathbb{R}^3 \setminus K)$ )

called Abelianization of  $\pi_1(\mathbb{R}^3 \setminus K)$

$$n_k n_i = n_{i+1} n_k$$



Abelianizing

$$n_k n_i = n_k n_{i+1}$$

$$\Rightarrow n_i = n_{i+1}$$

Say the ~~ori~~:

1968

So; The original group which looked

like  $\langle x_1, x_2, \dots, x_m : r_1, \dots, r_n \rangle$

↓  
Abelianize

$$\begin{aligned} &\langle x_1, \dots, x_m : x_1 = x_2, x_2 = x_3, \dots \rangle \\ &= \langle x \rangle \cong \mathbb{Z} \end{aligned}$$

What exactly are we doing when calculating lk?

We are actually abelianizing the group.

$$\pi_1(\mathbb{R}^3 \setminus K) \rightsquigarrow \text{ABEL } (\pi_1(\mathbb{R}^3 \setminus K))$$

$$x_1^2 x_2 x_3^{-1} \xrightarrow{\hspace{1cm}} x^2 x x^{-1} = x^2$$

115  
 $2 \in \mathbb{Z}$

linking Number:

So; for now: we have seen four perspective of Linking Numbers.

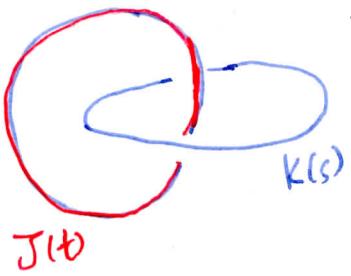
- ① Combinatorial.
  - ② Count all crossing, but divide by 2
  - ③ S. surface perspective
  - ④ Group Theoretic perspective (where we look at one component as being a word in fundamental group of the complement of the other component; And then you see abelianization: to where that word is sent to)
- } All equivalent

5<sup>th</sup> perspective

Gauss ; using calculus.

(pg 67)

Starting thinking component knot  $K$  as curves,



$$K(s) = (x(s), y(s), z(s))$$

Think of component knots to be curves parametrized by some parameter  $s$ .

$$\text{where } s_0 \leq s \leq s_1$$

$$\text{here: } K(s) = (\cos s, \sin s, 0); 0 \leq s \leq 2\pi$$

$$J(t) = (\bar{x}(t), \bar{y}(t), \bar{z}(t)) ; t_0 \leq t \leq t_1$$

$$J(t) = (0, \sin t - 1, \cos t); 0 \leq t \leq 2\pi$$

Gauss Integral

$$lk(K, J) = \iint \frac{(\bar{x} - x)(y' \bar{z}' - z' \bar{y}') + (\bar{y} - y)(z' \bar{x}' - x' \bar{z}') + (\bar{z} - z)(x' \bar{y}' - y' \bar{x}')} {4\pi ((\bar{x} - x)^2 + (\bar{y} - y)^2 + (\bar{z} - z)^2)^{3/2}} ds dt$$

example

$$\begin{aligned}
 \text{here: } & \\
 lk(K, J) &= \iint_0^{2\pi} \frac{1}{4\pi} \frac{(-\cos s)(-\cos t \sin t - 0) + (\sin t - 1 - \sin s)(0 - \sin t \cos t)} {(\cos^2 s + \cos^2 t + (\sin t - 1 - \sin s)^2)^{3/2}} ds dt \\
 &\quad + \cos t (-\sin t \cos t - 0) \\
 &= 1
 \end{aligned}$$

# Knot Theory

Shoib Akhtar 17/8/2020.

(1968)

## Lec 9: Local Moves on Links.

### Local Moves

(i)

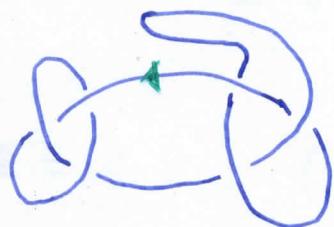


This means that knot can pass through itself.

Crossing  
Change

Proposition) Any knot can be unknotted via crossing changes!

Proof)  $\cong$

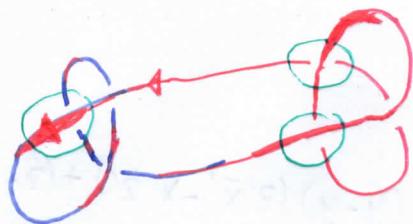


Connect sum of two  
trifoil

Start somewhere and traverse  
the knot.

As you travel, change crossings  
into overcrossings unless  
you already passed.

↓



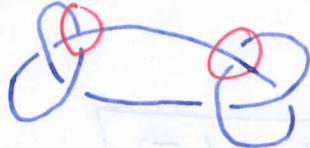
i.e.  
always  
going  
down  
... so don't  
get ~~us~~ knotted.



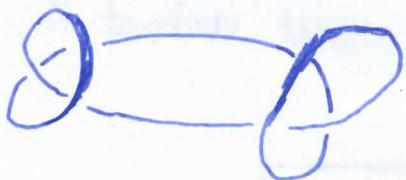
Question) Given a knot what is the minimal number of  
crossing changes needed to turn it into unknot?

Call this  $U(K)$ , The Unknotting number of  $K$

ex)

 $= k$ 

Can we unknot with fewer than  
3 uncrossing.



so possible with this.

Is it possible with just one.

$$\text{So } u(k) \leq 2 \text{ ie: } u(\text{Trefoil}) \leq 2$$

Warning:

The uncrossing number is not preserved across diagrams of same knot.

Given any knot  $K$ , with  $n \in \mathbb{N}$ , there exists a diagram for  $K$  that requires at least  $n$  crossing changes to unknot.

$$\text{ex) } u(\text{Trefoil}) = 1$$

But there is some diagram for Trefoil that needs 500 crossing changes.

Moral:  $u(K)$  hard to calculate.



= connect sum of two trefoils.

What can be relation between.

$$u(K \# J)$$

$$u(K)$$

$$u(J)$$

We can show

$$u(K \# J) \leq u(K) + u(J)$$

Proof) One way to unknot  $K \# J$ , is just unknot  $K$ , then  $J$ .

Open  
Conjecture

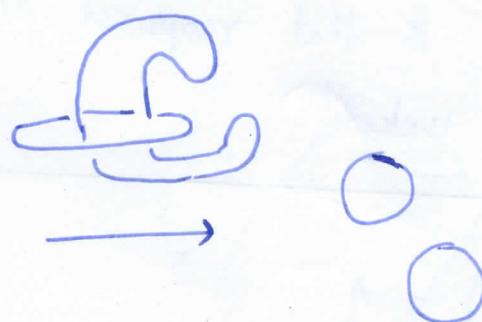
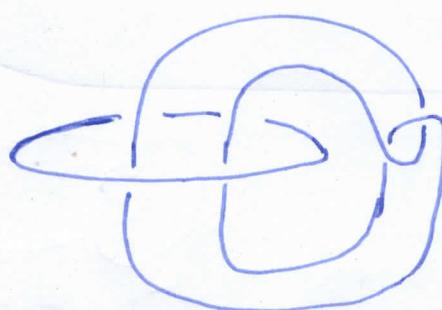
$$u(K \# J) = u(K) + u(J)$$

Recall)  $g(K \# J) = g(K) + g(J)$  This is proved.

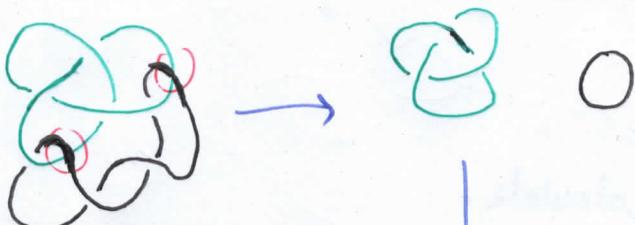
Similarly; we can define Unlinking Number.

$u(L)$  = min. Crossing changes needed to obtain trivial link.

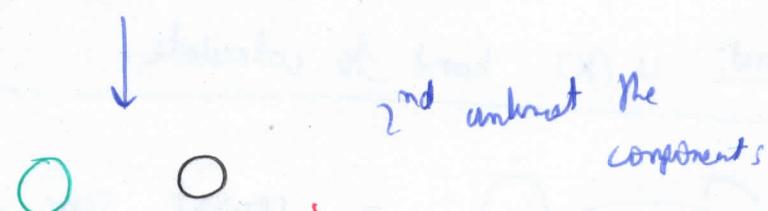
ex)



ex)



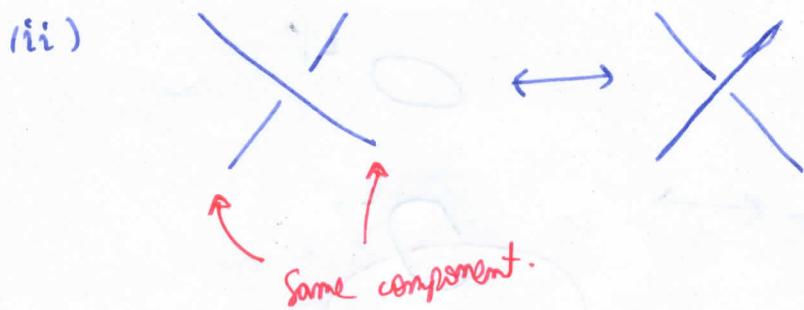
1st split the link



2nd unknot the components

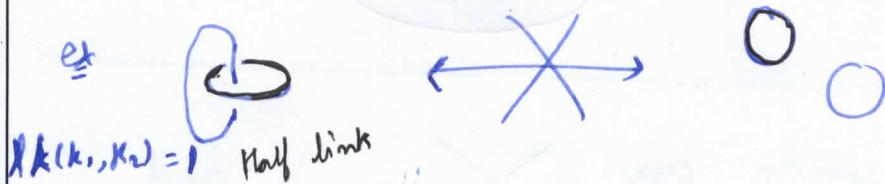
Warning: This is not optimal.

(iii)



## Link Homotopy

(complements of a link can pass through themselves but not each other.)



ex Any knot is homotopic to unknot



K be any knot

L is link homotopic to L'



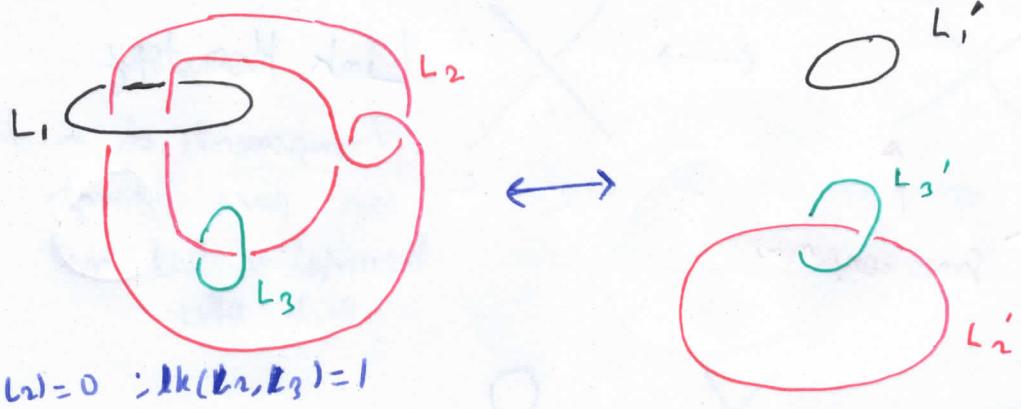
$\downarrow$

$\uparrow_{i,j}$

$$\text{lk}(L_i, L_j) = \text{lk}(L'_i, L'_j)$$

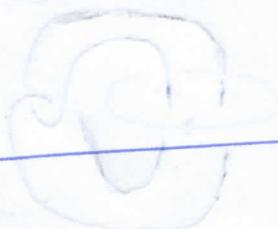
\* converse is true for 2-component link.

\* for m-component link ( $m > 2$ ) ; we also need information about higher order linking numbers.



$\text{lk}$  is counted by counting over ~~X~~; and

these are unchanged during homotopy

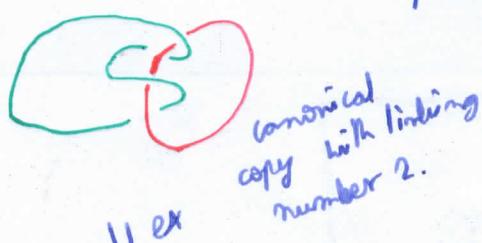
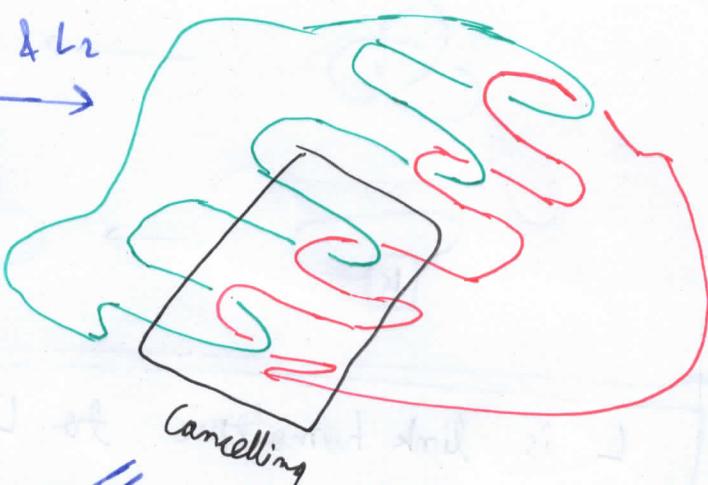


ex) 2-component links

$$L = L_1 \sqcup L_2 \quad \text{lk}(L_1, L_2) = m$$

$$L' = L'_1 \sqcup L'_2 \quad \text{lk}(L'_1, L'_2)$$

Proof  $L = L_1 \sqcup L_2 \xrightarrow{\text{un knot } L_1 \text{ & } L_2 \text{ and move into Standard position}}$



II ex canonical copy with linking number 2.



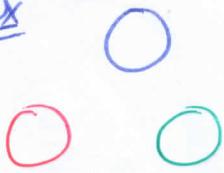
This is linked some number of times all in same direction.

linked  $m$  times.

### 3-component links

1973

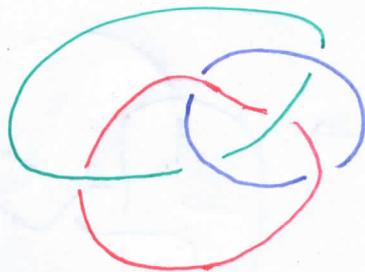
ex



$$\text{lk}(L_1, L_2)$$

$$= \text{lk}(L_2, L_3)$$

$$= \text{lk}(L_3, L_1) = 0$$



Counterexample

~~$\text{lk}(L_i, L_j) = 1$~~

$$\text{lk}(L_i, L_j) = 0 \quad ; \quad i \neq j$$

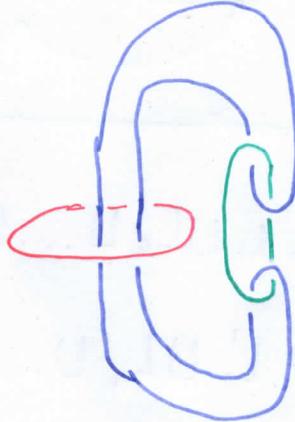
But these are not homotopic

"For 3 component link, linking number does not classify link homotopy"

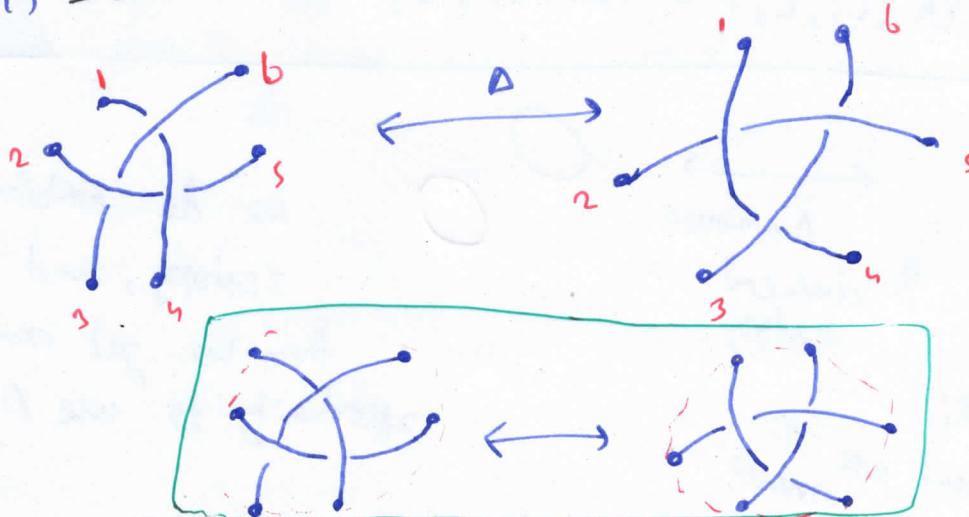
Retracting Borromean link



i.e:

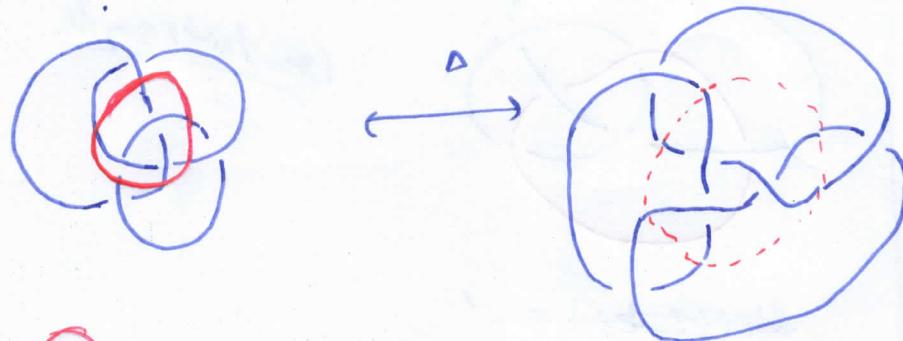


(iii) Delta-moves



ex Borromean links

(pg 74)



$\circ$  is the circle  
inside which we  
apply  $\Delta$  move



Borromean  
Rings       $\longleftrightarrow$       Trivial  
link.

Theorem  $L = L_1 \sqcup L_2 \sqcup \dots \sqcup L_m$  is delta equivalent to

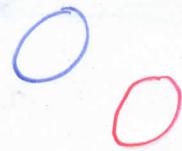
$$L' = L'_1 \sqcup L'_2 \sqcup \dots \sqcup L'_m$$



$$\text{lk}(L_i, L_j) = \text{lk}(L'_i, L'_j) \quad \forall i, j$$

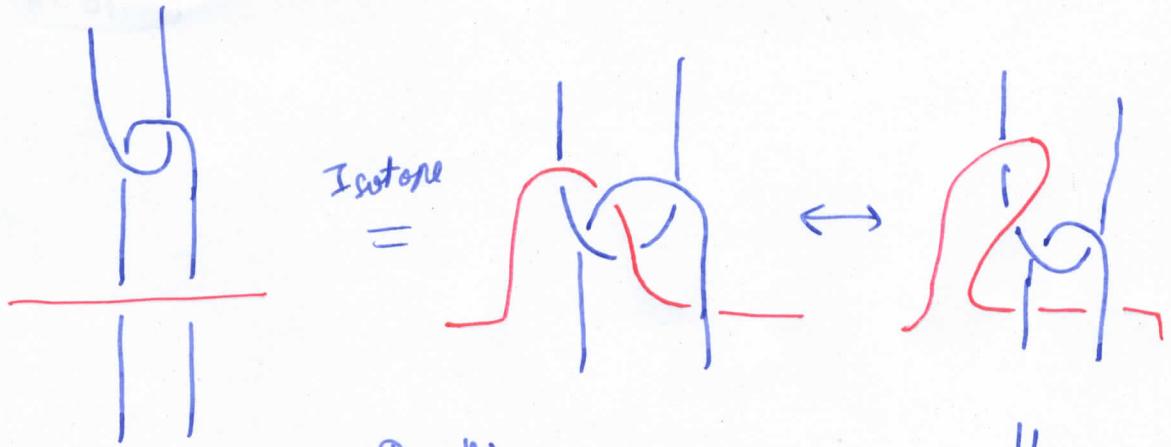
ex 

Initially in this  
form, can't use  $\Delta$  move

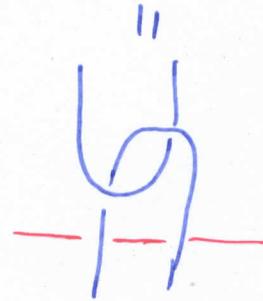


we do ambient  
isotopy; and  
then we get an  
opportunity to use  $\Delta$  move

1975



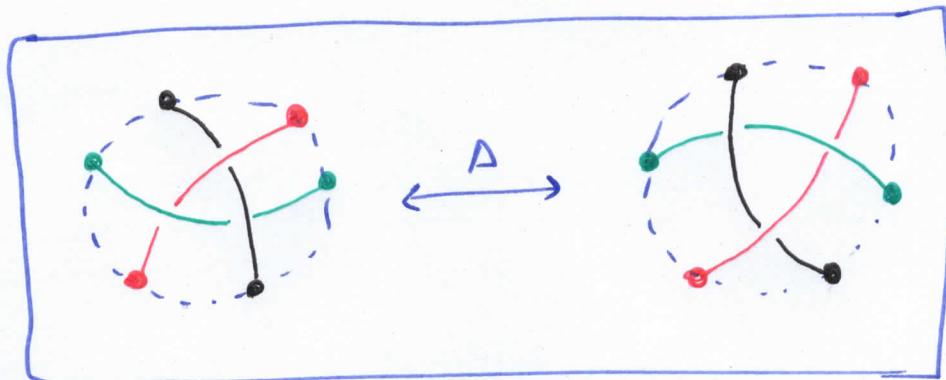
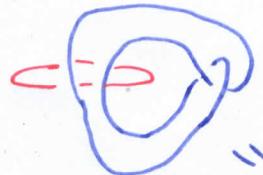
Do this  
to get delta.  
(so that you could  
perform delta  
move)



So:



$\xrightarrow{\text{Isotropy}}$   
(isotropy  
then  
delta move)



## Lee 10: Jones Polynomial.

### The Jones Polynomial

$V: \text{oriented link} \longrightarrow \mathbb{Z}[t^{-1/2}, t^{1/2}]$

Satisfies

- $V(O) = 1$  ie,  $V(\text{unknot}) = 1$
- $t^{-1}V(L_+) - tV(L_-) + (t^{-1/2} - t^{1/2})V(L_0) = 0$



Note:

- ① Well defined for all knots / links.
- ② Link invariant. (up to choice of orientation)

E.g.)

Then

$$t^{-1}(1) - t(1) + (t^{-1/2} - t^{1/2})V(OO) = 0$$

$$\Rightarrow V(OO) = -\frac{t^{-1} - t}{t^{-1/2} - t^{1/2}}$$

$$\boxed{V(OO) = -(t^{-1/2} + t^{1/2})}$$

In general.

$$L \sqcup O \rightarrow L'_{+} + L'_{-}$$

$$\Rightarrow V(L \sqcup O) = -(t^{-1/2} + t^{1/2})V(L)$$

In particular;

$$V(0\ 0\ 0\dots\ 0) = (-1)^{m-1} \cdot (t^{-1/2} + t^{1/2})^{m-1}$$

*m component*

~~$V_L(t=1) = ?$~~

$$V_{L+}(t=1) = V_{L-}(t=1)$$

↳ This says that as we change crossing ; value of Jones polynomial does not change at  $t=1$ .

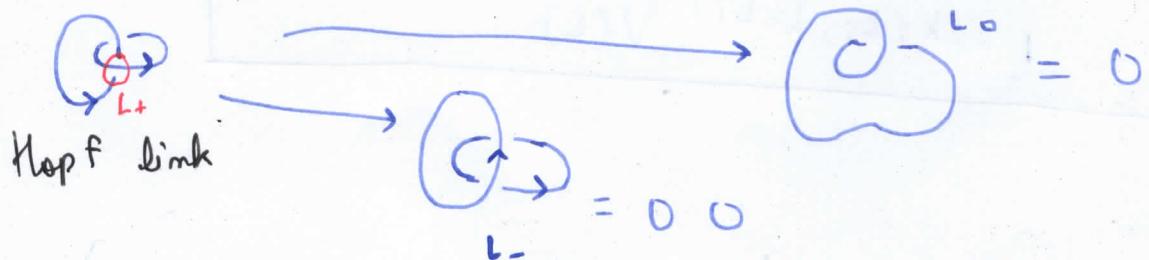
So; we can make  
The ~~changes~~ changes in crossing to  
make it trivial link.

$$V_L(1) = V_{\text{trivial}}(1) = (-2)^{m-1}$$

link  
(has same no.  
of component as L)

where m is no. of component of link  $\mathbb{B} L$

$$\text{Then } V_L(1) = (-2)^{m-1}$$



$$t^{-1} V(L^+) - t V(L^-) + (t^{-\frac{1}{2}} - t^{\frac{1}{2}}) V(L^0) = 0$$

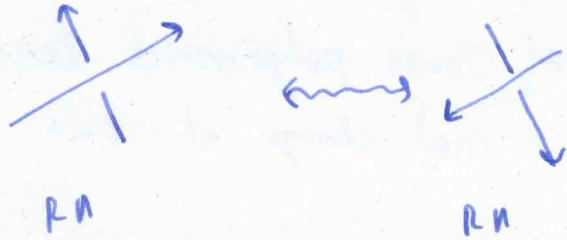
(1978)

$$\Rightarrow t^{-1} \cdot V(L^+) + t (t^{-\frac{1}{2}} + t^{\frac{1}{2}}) + (t^{-\frac{1}{2}} - t^{\frac{1}{2}}) = 0$$

$$\Rightarrow V(L^+) = -t^{\frac{5}{2}} - t^{\frac{1}{2}}$$

$$V(L^+) \Big|_{t=1} = -2 \quad \heartsuit$$

Reversing orientation on all components preserves Jones Polynomial.



Then  $V(t) = -t^{\frac{5}{2}} - t^{\frac{1}{2}}$

Then

$$\begin{aligned}
 -t V - t^{-1}(t^{\frac{1}{2}} + t^{\frac{1}{2}}) + (t^{-\frac{1}{2}} - t^{\frac{1}{2}}) &= 0 \\
 -t V &= t^{\frac{3}{2}} + t^{\frac{1}{2}} \\
 \Rightarrow V &= -t^{-\frac{5}{2}} - t^{-\frac{1}{2}}
 \end{aligned}$$

Change orientation on  $L_i$  of  $L$  to get  $L'$ ,

$$V(L') = t^{3\text{lk}(L_i, L-L_i)} V(L)$$

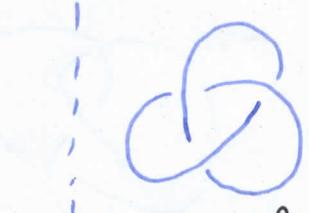
# Jones Polynomial under Mirror image

(P979)



$$-t^4 + t^3 + t$$

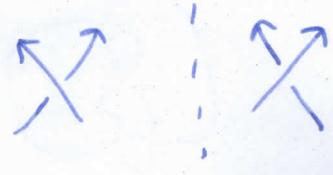
calculate this



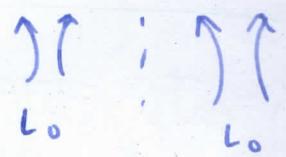
$$-t^{-4} + t^{-3} + t^{-1}$$

easily follows

$\bar{L}$  is mirror of  $L$ .



$$L^- \longleftrightarrow L^+$$



$$L_0$$

$$L'_0$$

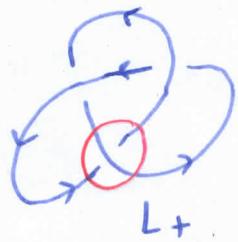
$$t^{-1}V(L_+) - tV(L^-) + (t^{-1h} + t^{1h})V(L_0) = 0$$

$$t^{-1}V(\bar{L}) - tV(\bar{L}_+) + (t^{-1h} - t^{1h})V(\bar{L}_0) = 0$$

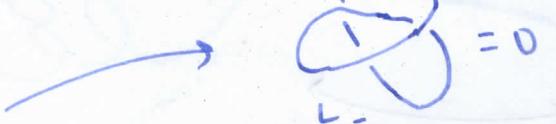
use this.

$$V_{\bar{L}}(t) = V_L(t^{-1})$$

where  $\bar{L}$  is mirror of  $L$ .



$$L_+$$



$$= 0$$



$$V(L_0) = -t^{5h} - t^{1h}$$

$$V(L_-) = 1$$

$$\Rightarrow t^{-1}V(L_+) - t + (t^{-1h} + t^{1h})(-1)(t^{5h} + t^{1h}) = 0$$

$$\Rightarrow V(L_+) = t^2 + (t^{-1h} - t^{1h})(t^{5h} + t^{1h})t$$

$$\Rightarrow V(L_+) = t^2 + (t^2 + 1 - t^3 - t)t$$

$$= -t^4 + t^3 + t$$

Example

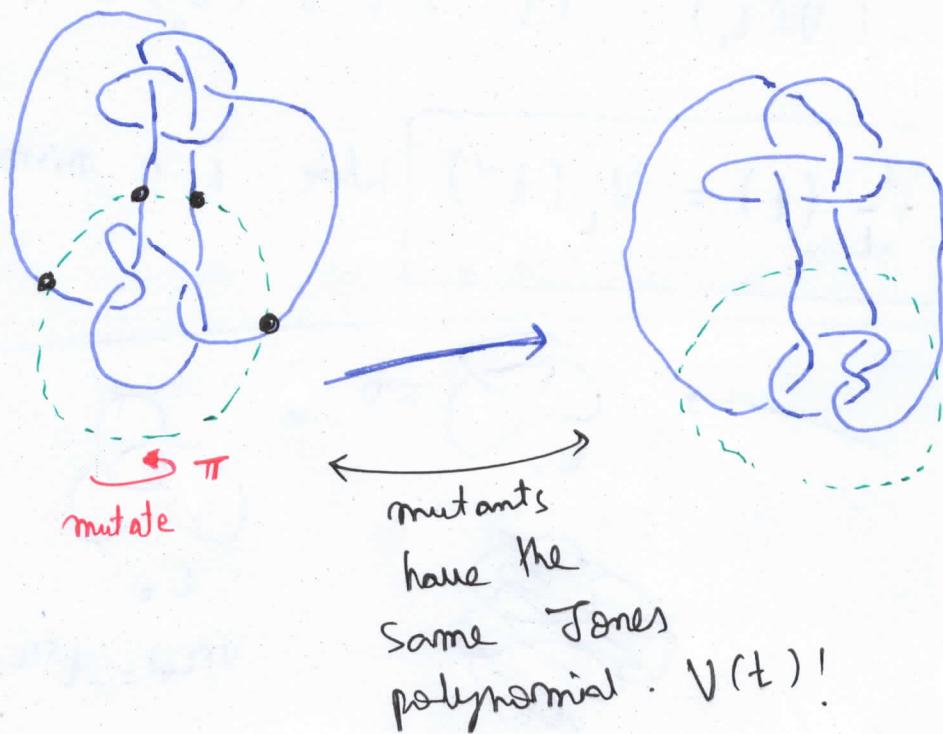


1980

$$K = J \implies V(K) = V(J)$$

Is the converse true? No, it's not true.

example



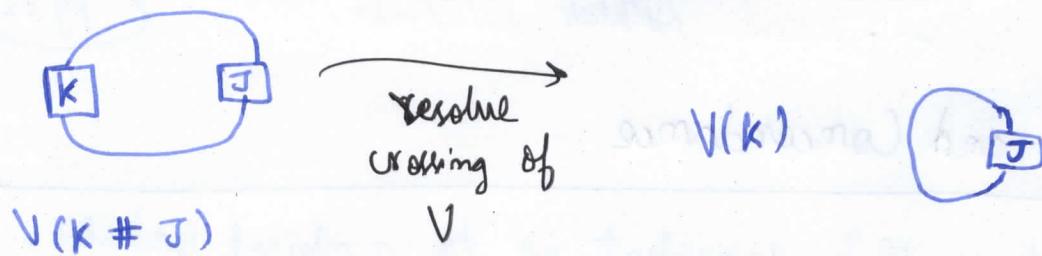
Mutants are different; they have different fundamental group  $\pi_1(K)$ , different genus.

(but same  $V(t)$ )

$$K = unknot \text{ } O \implies V(K) = 1$$

Is the converse true?

Open conjecture: If  $V(K) = 1 \implies K = unknot$



so;

$$V(K \# J) = V(K) V(J)$$

HOMFLY Polynomial.  $P(\alpha, z)$ . Polynomial of two variables.

defined by

- $P(0) = 1$
- $\alpha P(\text{X}) - \alpha^{-1} P(\text{X}) = z P(\text{TP})$

Generalizes Jones & Alexander polynomial as follows:

$$\Delta(t) = P(\alpha = 1, z = t^{1/2} - t^{-1/2})$$

$$V(t) = P(\alpha = t^{-1}, z = t^{1/2} - t^{-1/2})$$

Lec 11: Slice and Concordance

So far ... knots in  $\mathbb{R}^3$  equivalent up to ambient isotopy.

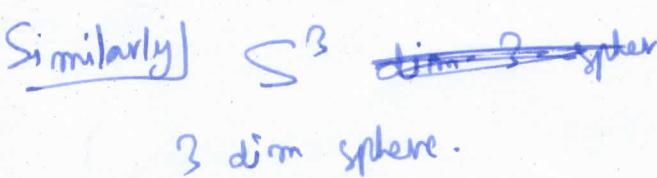
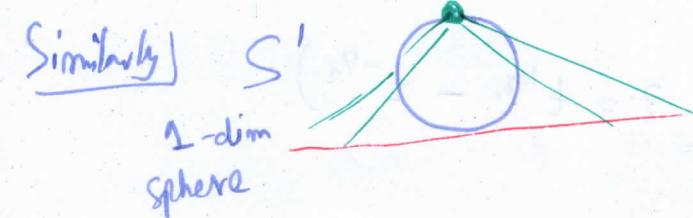
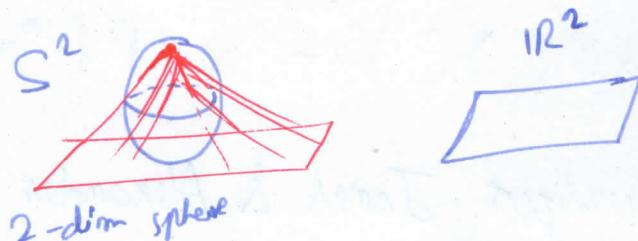
Invariants:

- Colorability.
- Determinant.
- Alexander Polynomial.
- Jones Polynomial.
- Genus.

Generalizing Unknot

Usually we think of knots / links  
in  $\mathbb{R}^3$  ↗

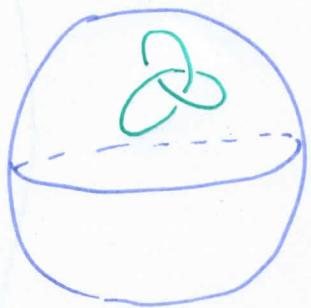
but now think in  $S^3$ .



Knot  $K \subset S^3$

(ie; knot  $K$  inside  $S^3$ )

Then think of the knot  $K$  living in 3 dimensional space;  
but that 3-dim space is on surface of the 4-dimensional ball.



$K$  is the unknot  $\iff K$  bounds disk in  $S^3$

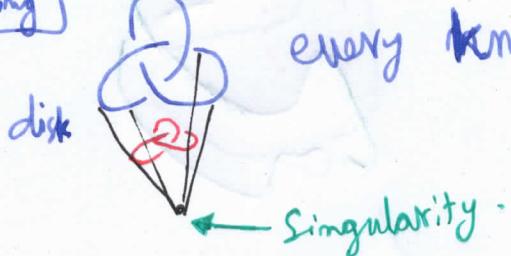


generalizing this:

generalizing the above notion;

Def<sup>n</sup>  $K \subset S^3$  is Slice  $\iff K$  bounds <sup>smooth</sup> disk in  $B^4$ .

Warning



every knot bounds a cone in  $B^4$ .

6. :



Think as

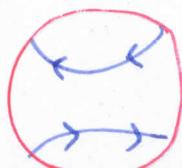


$S_3$

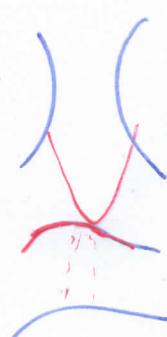
Bounds some ball in  $B_3$

# Isotopy

1984



This corresponds to  
coming to a saddle



Moving down the saddle  
splits it in three  
components.

Saddle



isotopy

O O

cap off

isotone



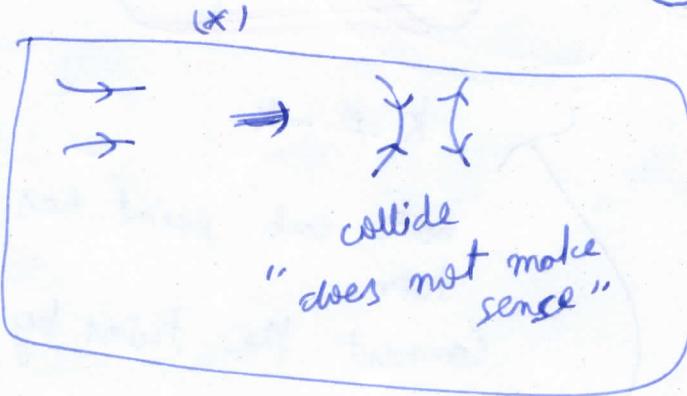
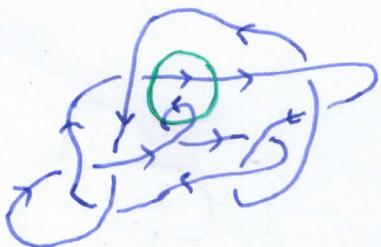


Slice Disk.

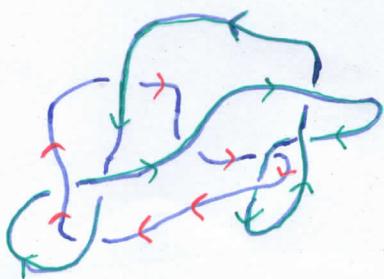
88



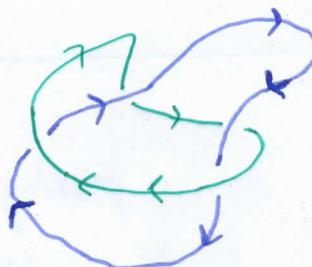
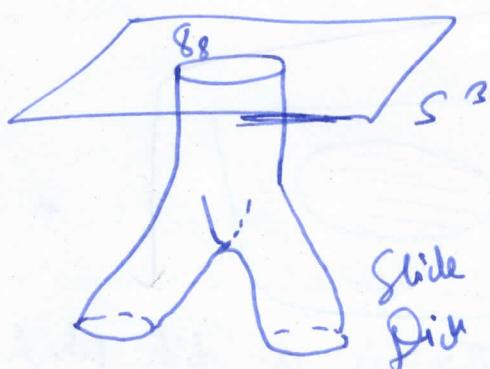
$(*)$  because of  $(*)$   
i.e. first make the following  
isotopy more



passing through  
saddle.



↓ isotopy



Q1 Is every knot slice? (smoothly)

Pg 88

Aj No: Trefoil is not!

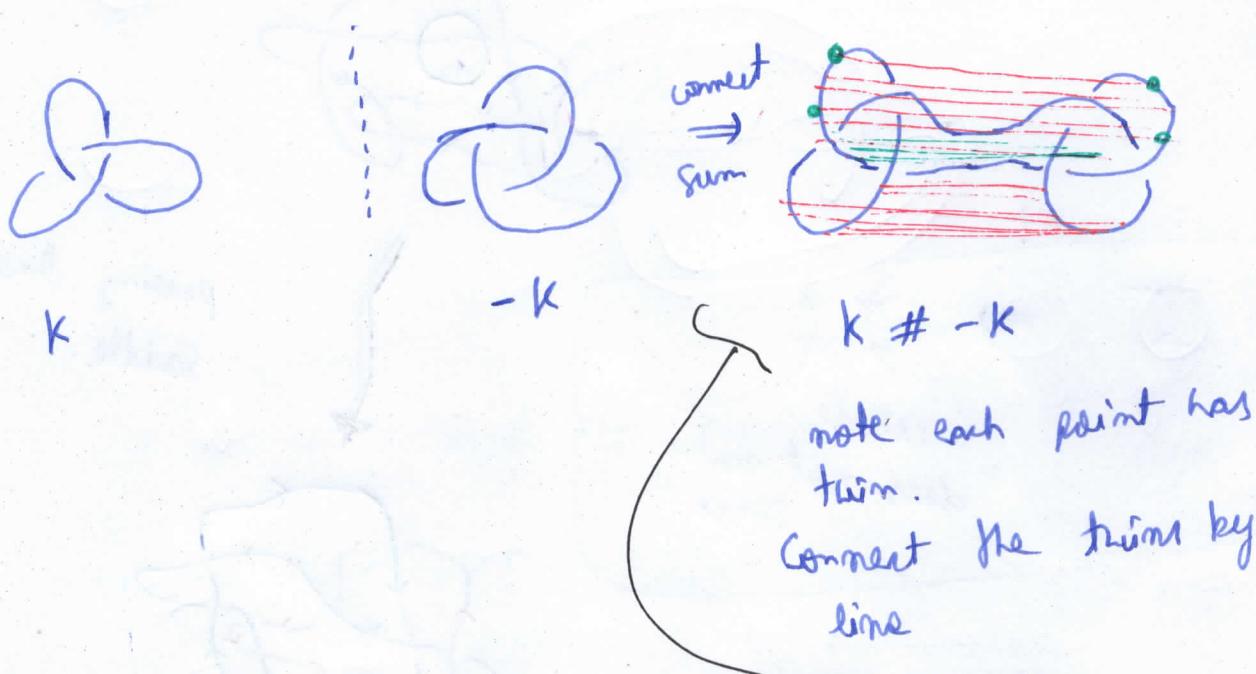
Def<sup>n</sup>] We say ~~s~~ knots  $K, J$  are concordant if

$K \# -J$  is slice. ( $K \cong J$ )

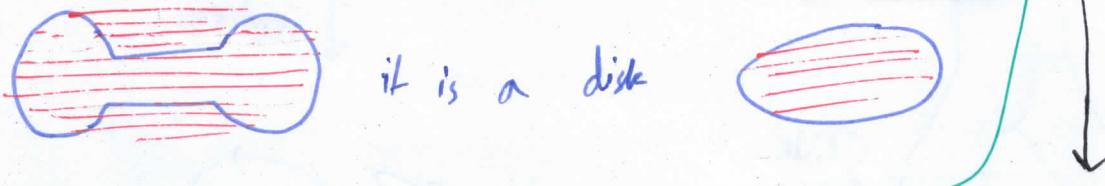
Concordance is equivalence relation. → Mirror image of  $J$ .

• Reflexive  $K \cong K$

i.e;  $K \# -K$  is slice.



ex



it is a disk

This is a disk which passing through it self couple of times.

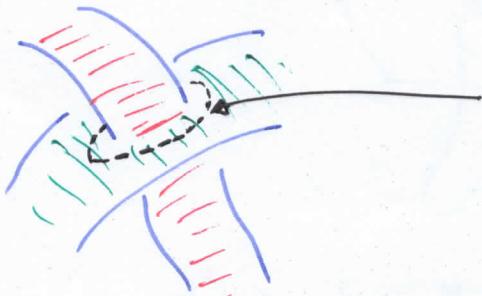
The knotting causes the disk to pass through itself

So: for  $K \# -K$

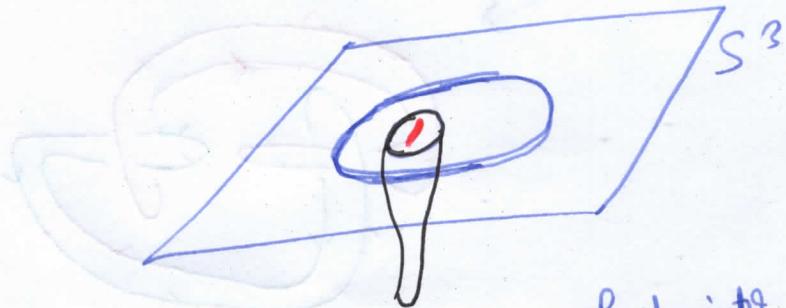


disk  
crossing  
through itself  
in  $S^3$ .

disk crossing  
over itself couples of  
times.

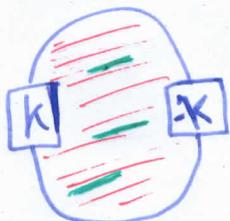


Take a neighbourhood  
where it crosses through itself;  
and push that region down  
to 4th dimension.



Push into  $B^4$  to  
prevent it from  
crossing through itself.

For any knot  $K$



$\rightarrow$  green are the regions where it  
cross through themselves.

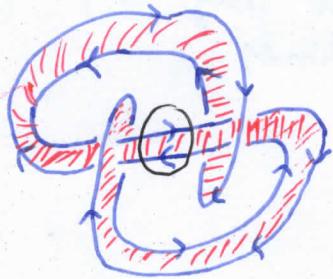
$\leftarrow$  We can push these regions to  $B^4$   
to prevent it from crossing ~~itself~~  
itselfs.

We call a knot Ribbon if it bounds a disk that  
crosses through itself only in arcs thusly:



In particular  $K \# -K$  is ribbon.

e.g.



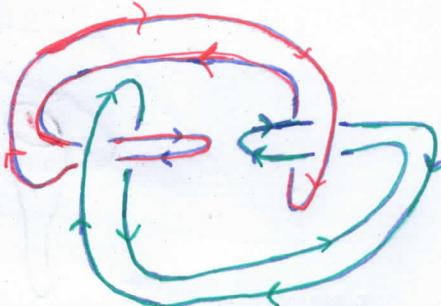
6.

Lemma Ribbon  $\Rightarrow$  Slice

passing through itself  
looks



passing through Saddle.



= O O

One more representation of 6. is



Slice  $\Rightarrow$  Ribbon : Open Conjecture !

• Symmetric :  $K \cong J \Rightarrow J \cong K$

To show: if  $K \# -J$  slice  $\Rightarrow J \# -K$  is slice

$$-J \# K = K \# -J = -(K \# -J)$$

1989

Now; we have to show ; given a knot is slice,  
its mirror is slice.  
 Then we are done

To be a slice it has to bound a disk.

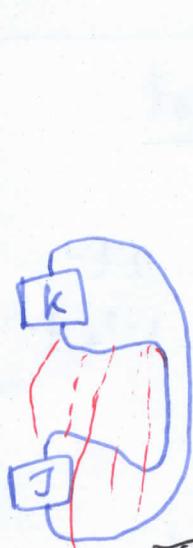
→ if original one bounds a disk ; then its mirror image also bounds a disk.

Nence if K is slice  $\Rightarrow$   $-K$  is slice

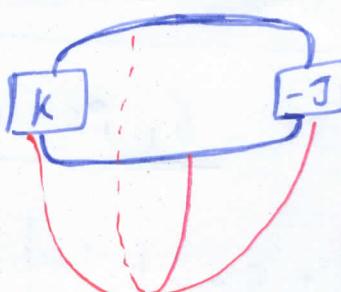
• Transitive  $K_1 \simeq K_2, K_2 \simeq K_3 \Rightarrow K_1 \simeq K_3$

To prove this ; we give the equivalent definition for Concordance.

Def<sup>n</sup> of Concordance  $K \# J$  is slice means.

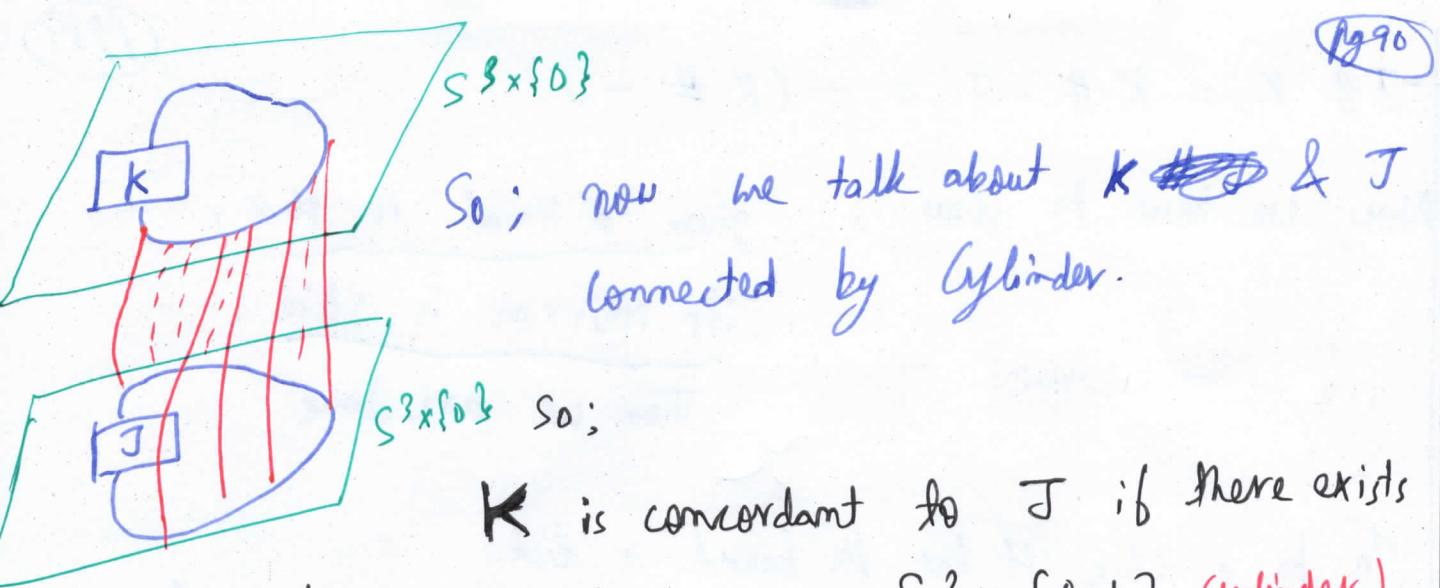


pull J to  
the bottom; and  
flip J over  
(flipping will undo the  
mirror.)



Bounds disk in  $B^4$ .

→ we can seal this gap (look up in next page)



So; now we talk about  $K \cancel{\sim} J$  &  $J$   
connected by Cylinder.

(pg 90)

So;

$K$  is concordant to  $J$  if there exists  
smooth a cylinder in  $S^3 \times [0,1]$  (cylinder)  
with boundary  $K \subset S^3 \times \{0\}$   
and  $J \subset S^3 \times \{1\}$

From this definition; we see that  $K_1 \cancel{\sim} J_2, J_2 \cancel{\sim} K_3$ .  
 $K_1 \approx K_2, K_2 \approx K_3 \Rightarrow K_1 \approx K_3 \Rightarrow K$



Slice Knots are concordant to the unknot.



$6_1$  is slice, so  $6_1$  is concordant to Unknot.

but

$$\Delta_{6_1}(t) = -2t + 5 - 2t^{-1} \quad \left| \begin{array}{l} V_{6_1}(t) = t^2 - t + 2 - 2t^{-1} \\ \quad \quad \quad + t^{-2} - t^{-3} + t^{-4} \end{array} \right.$$

$$\Delta_{\text{Unknot}}(t) = 1$$

Alexander Polynomial

$$V_{\text{Unknot}}(t) = 1$$

Jones Polynomial

(Concordance dont preserve Alexander & Jones Polynomial)

Determinant (it is also not preserved under

$$\det(6_1) = 9$$

$$\det(0) \neq 9$$

Pg 91

Are there invariants that are preserved under concordance?

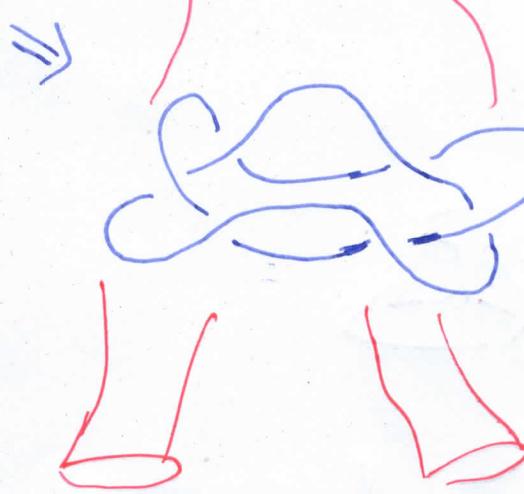
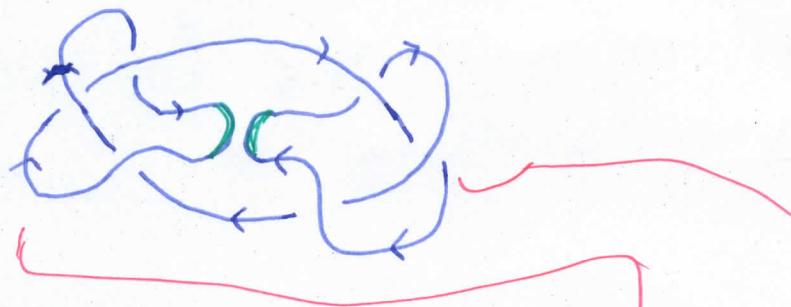
Lec 12 Concordance Group

Concordance:

ex)



$K \# -K$

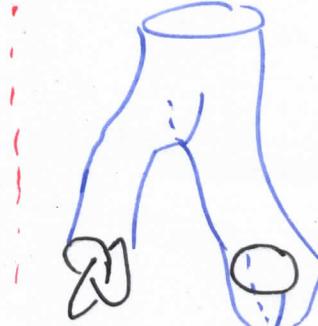


Slice  $\leftrightarrow$  Concordance to Unknot

ex)

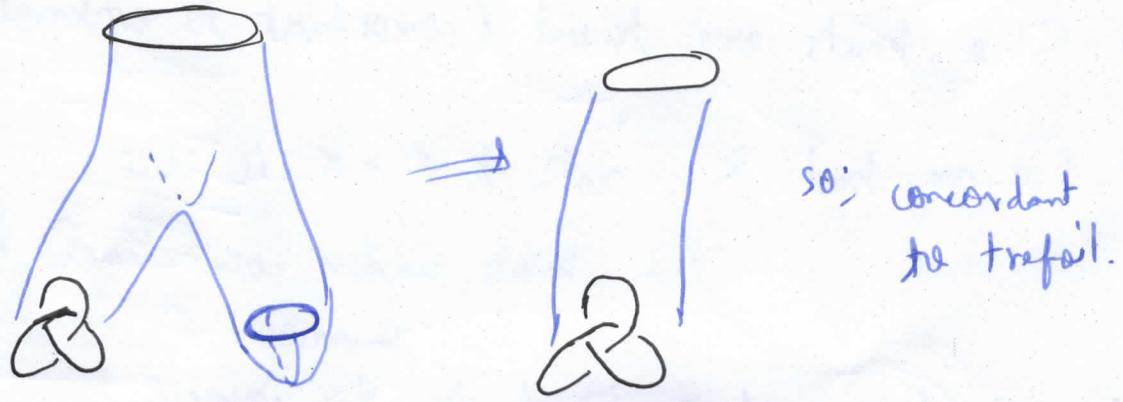


Trapeil + Unknot  
Saddle  
ie:   
↓ Saddle



So, The original one is Concordant to unknot

(1993)



Is trefoil concordant to unknot?

Problem:

Our invariants: Alexander Polynomial,  
Jones " "  
Det, genus, knot group are  
not invariants of Concordance.

- Question
- Why should we care about concordance?
  - Are all knots slice? If not, how many are these upto concordance?
  - Can we find invariants of concordance.

Recall: Genus of knot:  $g(K)$

We showed  $g(K \# J) = g(K) + g(J)$

$$g(K) = 0 \iff K = \text{unknot}$$

$$\boxed{g(K) \geq 1, K \neq \text{unknot}}$$

} cannot combine knotted knots to get unknot!

Knots don't have inverses!

Thinking up to ~~concordance~~ Concordance :

- Slice knots are trivial (concordant to unknot)
- For any knot  $K$ , note  $K \# -K$  is slice.  
(so knots under concordance have inverses)  
(Mirror of the knot is its inverse)
- ~~So, knots form a group under concordance~~

The set of knots up to concordance forms a group.

Denote  $C$ , knot concordance group.

- Slice Knot is trivial element ; order 1.
- Figure Eight ( $h_1$ ) :  $-h_1 = h_1$

$$\text{So; } h_1 \# h_1 = h_1 \# -h_1 = \text{slice.}$$

$$\text{So; } \text{order}(h_1) \leq 2$$

$$\text{can show } \text{order}(h_1) = 2$$

Amphichiral knots are the knots  $K$   
s.t.  $K = -K$

They are order  $\leq 2$ .

- Knots of other orders ?

Seifert Surfaces Revisited:

Any knot bounds orientable surface that consists of disk & bands.



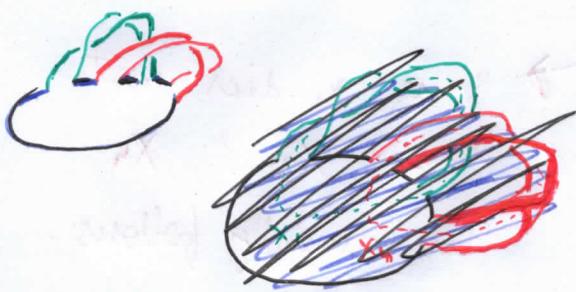
Eg.



We can always reduce to 1 disk

(by using some moves ; say sliding bands)

In this case for trefoil,  
we can reduce to



We define Seifert Matrix  $V$

e.g. of Trefoil

$$V = \begin{pmatrix} x_1^+ & x_1^- \\ x_2^+ & x_2^- \end{pmatrix}$$

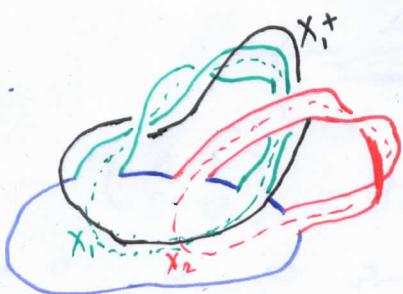
$$\hookrightarrow V + V^\top = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

Meaning of Push-off

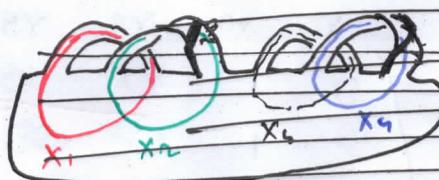
Since the surface is  
orientable; we can call  
one side of the disk to be  
positive side



e.g.



E.g.



It is definitely a knot (check easily)

for each band we have  
a circle.

(by thinking about how  
the circles intersect with  
each other)

The matrix  $V$  is

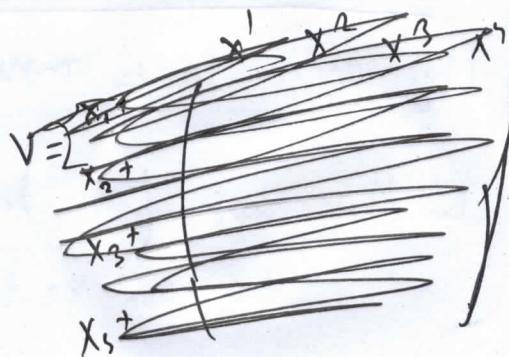
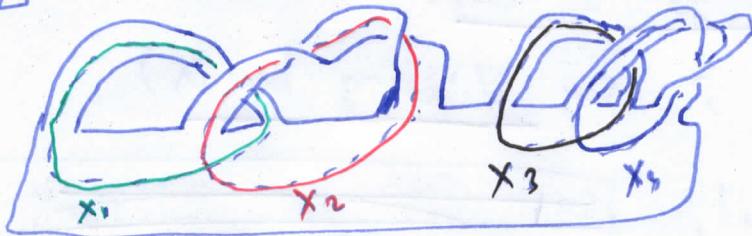
defined by linking  
numbers of  $x_i$

with the push  
off of  $x_j$  i.e.  $x_j^+$   
 $\text{lk}(x_i, x_j^+)$

$x_i^+$  is the  $x_i$   
loop pushed off the  
surface along positive  
side of the surface

E.g.]

K



$$V = \begin{pmatrix} x_1^+ & x_2^+ & x_3^+ & x_4^+ \\ x_2^+ & x_3^+ & x_4^+ & x_5^+ \end{pmatrix}$$

Since  $x_1$  does not touch  $x_3$  &  $x_4$   
This trivially follows.

We do a very tiny push off (say by an  $\epsilon$  amount, where  $\epsilon$  is infinitesimal)

These matrices are not very interesting ;   
(They are not symmetric)

So, we make a symmetric matrix out of it  $V + V^T$

Symmetric matrices have all eigen values real.

Hence, diagonalizable.

$$D(\text{Horofix}) = \begin{pmatrix} 1-\sqrt{2} & & & \\ & 1+\sqrt{2} & & \\ & & 1-\sqrt{2} & \\ & & & 1+\sqrt{2} \end{pmatrix}$$

$$D(\text{Trefeil}) = \begin{pmatrix} 1 & 0 & & \\ 0 & 3 & & \\ & & 1 & 0 \\ & & 0 & 1 \end{pmatrix}$$

$$D(K) = \begin{pmatrix} 1-\sqrt{2} & 0 & 0 & 0 \\ 0 & 1-\sqrt{2} & 0 & 0 \\ 0 & 0 & 1+\sqrt{2} & 0 \\ 0 & 0 & 0 & 1+\sqrt{2} \end{pmatrix}$$

Pg 97

Signature of matrix stays the same under change of basis.

**Signature of Matrix** = (# of +ve eigenvalues) - (# of -ve eigenvalues)

→ call this the signature of the knot

$$\sigma(K)$$

$$\sigma(\text{Trfoil}) = 2$$

$$\sigma(k) = 0$$

$K$  is S. Surface  $\rightsquigarrow$  Seifert Matrix  $\rightsquigarrow \sigma(K)$

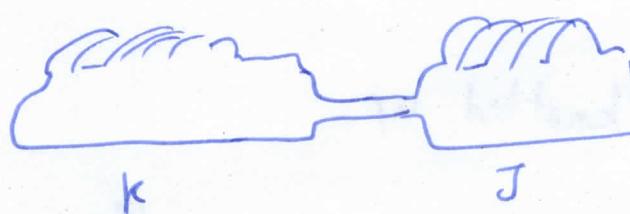
Roadmap  
of

calculation  
of  $\sigma(K)$

Properties of Signature:

- $\sigma(K \# J) = \sigma(K) + \sigma(J)$

$\sigma(K)$  does not depend on S. Surface (This is important for  $\sigma(K)$  to be well defined)



$$V_{K \# J} = \begin{pmatrix} V_K & 0 \\ 0 & V_J \end{pmatrix}$$

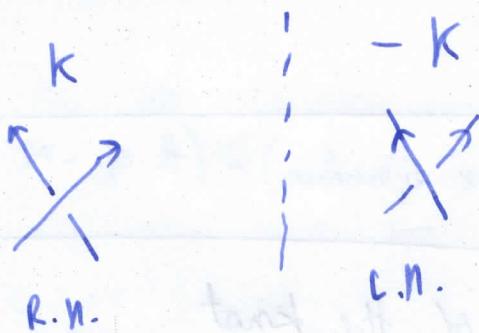
$$V_{K \# J} + V_{K \# J}^T = \begin{pmatrix} V_K + V_K^T & 0 \\ 0 & V_J + V_J^T \end{pmatrix}$$

Diagonalize  $\rightarrow D_{K \# J} = \begin{pmatrix} D_K & 0 \\ 0 & D_J \end{pmatrix}$

$$\Rightarrow \sigma(K \# J) = \sigma(K) + \sigma(J)$$

Under mirror image!

(M 98)



$v_k$

$D_k$

$\Rightarrow \sigma(k)$

only



SS

$D_{-k} = -D_k$

$\sigma(-k) = -\sigma(k)$

mirror.

$$\sigma(k) = -\sigma(-k)$$

negative  
number

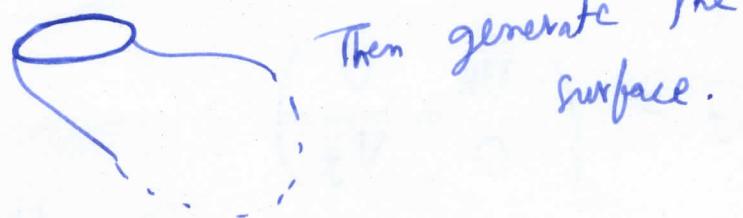
$$-1 \\ i.e. -1 \in \mathbb{R}$$

mirror  
image

Surface bounded by Trefoil.

A trefoil is circle ~~or knotted~~ knotted up.

Start with



Then generate the  
surface.

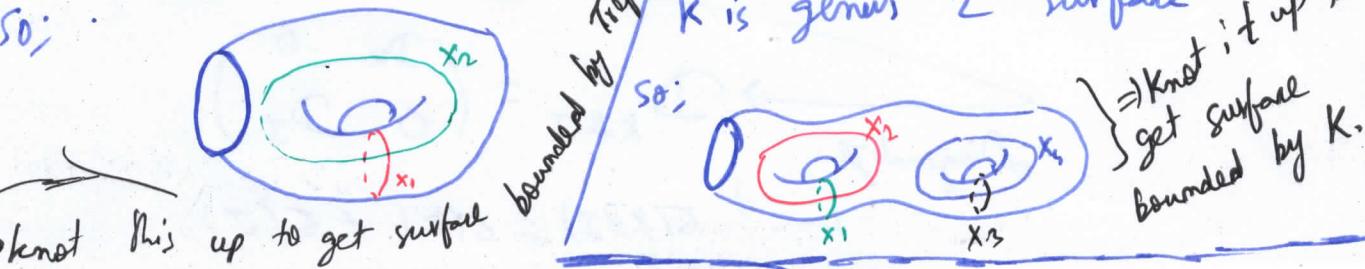
Recall, Trefoil bounds genus 1 surface.

so;



bounded by Trefoil!

K is genus 2 surface



=> Knot it up to  
get surface  
bounded by K.

what happens when  $K$  is slice.

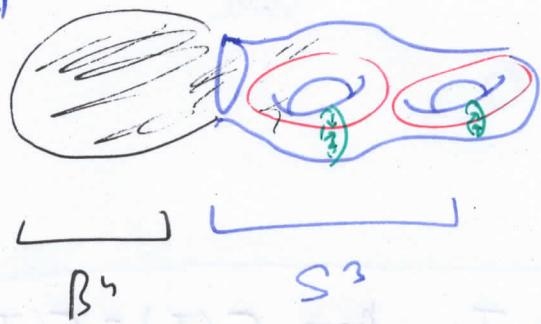
If  $K$  is slice, then

Slice disk  $\sqcup$  Seifert Surface  
bounds 3-manifolds

} Half Lives,  
Half Dies  
(Result from topology)

(because the slice disk closes up the hole)

e.g.



$B^4$

$S^3$

If we choose our surface wisely,

Then our  $V$  will look like  $V = \underbrace{\{ \text{---} \text{---} \text{---} \text{---} \}_{2g}}_{2g}$

(~~zero~~) zero (null) because half of the circle dies.

We have a  $g \times g$  block of  $2g \times 2g$  which vanishes.

With some algebra,

we can show  $\sigma(K) = 0$  (when  $K$  is slice)

(given a slice knot; signature vanishes)

Lemma  $K$  slice  $\Rightarrow \sigma(K) = 0$

Corollary Suppose  $J$  has  $S$ -surfaces  $\Sigma_1, \Sigma_2 \rightarrow$  Then  $\sigma_{\Sigma_1}(J) = \sigma_{\Sigma_2}(J)$

Corollary Suppose  $J$  has S. Surface  $F_1, F_2$

(pg 100)

Then  $\sigma_{F_1}(J) = \sigma_{F_2}(J)$

Proof  $\sigma_{F_1}(J) - \sigma_{F_2}(J) = \sigma_{F_1}(J) + \sigma_{F_2}(-J)$

$$= \sigma_{F_1 \# F_2}(J \# -J)$$

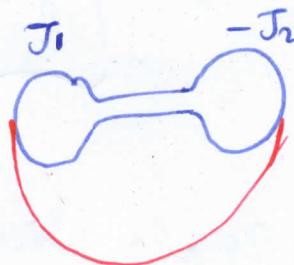
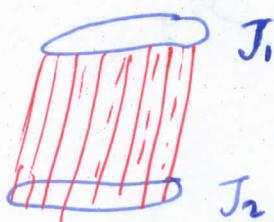
$$= \sigma_{F_1 \# F_2}(J \# -J \text{ is a slice})$$

$$= 0$$

$$\Rightarrow \boxed{\sigma_{F_1}(J) = \sigma_{F_2}(J)}$$

Corollary Suppose  $J_1$  concordant to  $J_2$ , then  $\sigma(J_1) = \sigma(J_2)$

Proof  $J_1$  concordant to  $J_2 \Leftrightarrow J_1 \# -J_2$  slice.



We know  $0 = \sigma(J_1 \# -J_2) = \sigma(J_1) + \sigma(-J_2)$   
 $= \sigma(J_1) - \sigma(J_2)$

$$\Rightarrow \boxed{\sigma(J_1) = \sigma(J_2)}$$

Hence proved; Signature is invariant of Concordance

We found  $\sigma(\text{Trefoil}) = 2 \Rightarrow \text{Trefoil is not slice!}$

$$\sigma(\# \text{ trefoil}) = \sum_n \sigma(\text{trefoil}) = 2m$$

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so; atleast by  $\# \text{ trefoil}$ , by varying  $n$ , we can generate infinite no. of elements out of  $\mathcal{C}$ .

In fact,  $\mathcal{C} \xrightarrow{\text{Surjective}} \mathbb{Z}^\infty \oplus \mathbb{Z}_2^\infty \oplus \mathbb{Z}_4^\infty$

$$K \text{ slice} \Rightarrow \sigma(K) = 0$$

Is the converse true?

Ans No ; we can find an example such that  $\sigma(J) = 0$  &  $J$  is not slice.



TIE YOUR SHOE LACES

# THANK YOU

*Embedding of a one dimensional  
curve into ambient spaces can  
give rise to different  
mathematical structures.*

*Shoaib Akhtar*